



LCF Lunchtime Roundtable Seminar, 14th December 2022, 12.30pm -2.30pm (UK time) online event

Forum New Voices Series 2 “Transnational Law” Seminar 1 – Launch of the book “Transnational Securities Regulation. Who makes it. Who Shapes it.” By Dr. Antonio Marcacci. Series Volume 3 LCF Studies in Commercial and Financial Law (Springer

Programme:

12.30 pm Welcome by host Dr Maren Heidemann and chair Prof. Mads Andenas

Brief Remarks by Professor Jan Wouters

Brief Remarks by Dr. Carlo Comporti

Brief Remarks by Ms Isabel Pastor

Short presentation of his book by Dr. Antonio Marcacci

Perspective from Political Sciences: Remarks by Professor Abraham Newman

Perspective from Regulatory Practice: Professor Cally Jordan

Response by Professor Olha Cherednychenko

Response by Professor Hans-W. Micklitz

Discussion Round

Closing at 2.30 pm

- 1. Professor Mads Andenas, QC** holds a Chair in the Faculty of Law, the University of Oslo, Norway. He is a director of the London Centre for Commercial and Financial Law and remains a Visiting Research Fellow of the Institute of European and Comparative Law, University of Oxford and a Senior Research Fellow at the Institute of Advanced Legal Studies, School of Advanced Studies, University of London. He has held senior academic appointments in the United Kingdom, including as Director of the British Institute of International and Comparative Law, London and Director of the Centre of European Law at King’s College, University of London.

- 2. Professor Olha O. Cherednychenko** holds a Chair in European Private Law and Comparative Law at the University of Groningen. She is also the Founding Director of the Groningen Centre for European Financial Services Law (GCEFSL). She has published extensively in the fields of European private law, comparative law, and financial law, exploring a wide range of issues at the cross-section of EU and national law as well as public and private law. In particular, her research focuses on the interplay between market regulation and private law. She has recently edited the book 'Financial Regulation and Civil Liability in European Law', Edward Elgar 2020 (together with Mads Andenas).
- 3. Dr. Carlo Comporti** is a Commissioner of CONSOB (Commissione Nazionale per le Società e la Borsa) as of 7th February 2022. From 2011 to 2022 he was a CEO of Promontory Italy and COO of Promontory Europe (Business Unit of IBM Consulting), after having been Director General of Promontory France. Previous positions include in 2011 Acting Secretary General and Senior Advisor to the President at the European Securities and Markets Authority (ESMA) in Paris, from 2003 to 2010 at the Committee of European Securities Regulators (CESR) in Paris, holding the functions of Secretary General and, previously, Deputy Secretary General. In 2002 he was seconded to the European Commission, DG Internal Market, in Brussels. In 2001 he was seconded to the European Central Bank in Frankfurt. From 1994 to 1997 he joined Consob at the Intermediaries Division in Milan and the International Relations Office in Rome. From 1999 to 2007 contract lecturer for the annual commercial law course at the Faculty of Economics of the University of Siena. He graduated from the University of Siena in 1991 and in 1995 obtained a Ph.D. in Banking and Financial Market Law at the University of Siena.
- 4. Dr. Maren Heidemann** is a director of the London Centre of Commercial and Financial Law. Alongside legal practice work in Germany, Austria and the UK, Dr Heidemann has held a number of full time and visiting lectureships in the UK since 2007 including at the University of Westminster and University of Glasgow. Dr Heidemann has been working for the University of London since 2010 in various roles including as research fellow at the Institute of Advanced Legal Studies (IALS), School of Advanced Studies, University of London and as Teaching Fellow and tutor at the Centre for Commercial Law Studies (CCLS) at Queen Mary, University of London. She is the author of M Heidemann, Transnational Commercial Law (Palgrave MacMillan, London 2018).
- 5. Cally Jordan** was a Visiting Professor of Law at Yale Law School in 2021, where she taught International Capital Markets. The second edition of her book, International Capital Markets: Law and Institutions, Oxford University Press, appeared in 2021. Admitted to practice in New York, California, Hong Kong, Ontario and Quebec, she spent several years practicing international finance with Cleary Gottlieb (New York). She spent over twenty years advising governments and market institutions worldwide on financial regulation and corporate governance, primarily with The World Bank. Currently, she is a Principal Fellow at Melbourne Law School (Australia) where she taught for many years. Over the last decade, she has been a visitor at Duke, Georgetown, the Max Planck Institute for Comparative and International Private Law, the London School of Economics, the Netherlands Institute for Advanced Study, Oxford University and the Centre for Transnational Legal Studies (London). She is a member of the American Law Institute, the International Academy of Comparative Law, the European Corporate Governance Institute and an editorial board member, Capital Markets Law Journal (Oxford Academic). She is a neutral arbitrator for the ICDR (New York) and sits on the Experts Panel, P.R.I.M.E Finance (The Hague).
- 6. Dr. Antonio Marcacci** is Vice President at the Compliance Function of an EU Global Systemically Important Bank and Lecturer (Lehrbeauftragter) at the University of Passau, Germany, and at the University of Leipzig, Germany, where he gives courses in EU, US, and Chinese Banking and Financial Law. Antonio was awarded a PhD in Law by the European

University Institute (EUI), Florence. During his PhD, Antonio visited the Faculty of Law of Humboldt-Universität zu Berlin (Germany) and the Law School of the University of Wisconsin-Madison (United States) for exchange programs, and had a short term temporary appointment at the World Bank in Washington, DC (United States). In his private sector experience, Antonio has worked in Europe (Milan and Munich), the Middle East (Abu Dhabi), and China (Shanghai). Alongside academic teaching, Antonio provides professional compliance training. Interested in the interface between academic research and professional banking and finance, Antonio has published several articles in leading journals, chapters in books edited by outstanding scholars, and a monograph with Palgrave Macmillan.

7. **Professor Hans-W. Micklitz** is Professor for Economic Law at the European University Institute, Florence Italy, since 2007, Head of Department and 2012-2014, Director of Graduate Studies 2009-2011. He holds the Jean Monnet Chair of Private Law and European Economic Law at the University of Bamberg, Germany (on leave), and is Finnish Distinguished Professor at the University of Helsinki. From 2015-2020 he was Head of the Institute of European and Consumer Law (VIEW) in Bamberg. Prof. Micklitz studied law and sociology in Mainz, Lausanne/Geneva (Switzerland), Giessen and Hamburg. His legal education and earlier career included study visits at the University of Michigan, Ann Arbor, Jean Monnet Fellow at the European University Institute Florence, Italy and visiting professor at the Somerville College at the University of Oxford. Holder of an ERC Grant 2011-2016 on European Regulatory Private Law, Project financed by the Academy of Finland on the Transformation of Private Law beyond and through the European Union, 2015-2020.
8. **Isabel Pastor** is the Head of Enforcement & Cooperation and Senior Advisor, Special Projects, at the International Organisation of Securities Commissions, IOSCO. Isabel joined IOSCO in March 2002 and has covered a range of areas within the organization since her arrival. Isabel's current work is focused on enforcement and cooperation. Among other responsibilities, she leads the Secretariat's MMoU Team (Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information). In this role, Isabel's responsibilities include supporting the work of the MMoU Screening Group, Committee 4 on Enforcement and Exchange of Information, the MMoU Monitoring Group as well as the MMoU Monitoring Group Steering Committee and the MMoU Decision Making Group. Isabel is in charge of applications for IOSCO ordinary, associate and affiliate membership. Isabel previously served at the Spanish Civil Administrative Service as Director of International Affairs of the Insurance Regulator. Prior to joining IOSCO, she worked at the UK Financial Services Authority in London. Isabel has a law degree from Complutense University in Madrid.
9. **Professor Jan Wouters** is Full Professor of International Law and International Organizations, Jean Monnet Chair ad personam EU and Global Governance, and founding Director of the Institute for International Law and of the Leuven Centre for Global Governance Studies, an interdisciplinary research centre with the status of both a Jean Monnet and KU Leuven Centre of Excellence, at KU Leuven. He is also President of KU Leuven's International Policy Council and Administrator of the America Europe Fund. As Visiting Professor at Sciences Po, Paris-2 (Panthéon-Assas), LUISS University and the College of Europe (Bruges) he teaches EU external relations law. As Adjunct Professor at Columbia University he teaches on the EU and human rights. Prof. Wouters is a Member of the Royal Academy of Belgium for Sciences and Arts and practises law as Of Counsel at Linklaters, Brussels. He is Editor of the International Encyclopedia of Intergovernmental Organizations, Deputy Director of the Revue Belge de Droit International, and an editorial board member in eleven international journals. He has published widely on international and EU law, international organizations, global governance, and corporate and financial law. Apart from his participation in international scientific networks, Jan Wouters advises from time to time various international organizations and governments, trains

international officials and is often asked to comment international and European developments in the media.
