



# CMMC CONSULTING

Getting to Certified

## DOD CUI HANDBOOK

May 2020

### Note

This CUI handbook aggregates CUI guidance from the National Archives and Records Administration (NARA) as the Executive Agent of the CUI program, the Information Security Oversight Office (ISOO) as the designated CUI oversight office, as well as from 32 CFR which establishes CUI laws and from and the DoD Instruction 5200.48, which establishes the DoD CUI Program. The guidance in this handbook is relevant and updated as of May 2020. When guidance from the ISOO, 32 CFR, and DOD are different this guide provides the strictest requirement to facilitate the widest compliance.

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## Table of Contents

Defining CUI .....	2
Federal Contract Information.....	3
Initial and Annual CUI Training.....	3
CUI Basic.....	4
CUI Specified.....	4
Handling CUI.....	4
Dissemination .....	4
Limiting Dissemination Controls (LDC).....	5
Export Control Markings .....	5
Decontrolling CUI .....	5
Destroying CUI.....	5
CUI Markings .....	6
Banners and Footers.....	6
CUI Marking in Classified Documents.....	6
CUI Marking in Unclassified Environments .....	7
CUI Privacy and Security Notice.....	7
Safeguarding CUI .....	8
Reporting Mishandling of CUI.....	8
DoD CUI Registry .....	9

The Controlled Unclassified Information (CUI) program, established in 2010 by Executive Order (EO) 13556, standardizes the way the Executive branch handles unclassified information that requires safeguarding or dissemination controls pursuant to and consistent with law, regulations, and government-wide policies. The National Archives and Records Administration (NARA) as Executive Agent of the CUI program was designated to implement EO 13556 and oversee agency actions to ensure compliance. The Archivist of the United States delegated these responsibilities to the Information Security Oversight Office (ISOO).

32 CFR Part 2002 “Controlled Unclassified Information” was issued by the ISOO to establish policy for agencies on designating, safeguarding, disseminating, marking, decontrolling, and disposing of CUI, self-inspection and oversight requirements, and other facets of the Program. The rule affects Federal executive branch agencies that handle CUI and all organizations (sources) that handle, possess, use, share, or receive CUI—or which operate, use, or have access to Federal information and information systems on behalf of an agency.

The Department of Defense published DoD Instruction (DoDI) 5200.48 “Controlled Unclassified Information” in March 2020 and it establishes policy, assigns responsibilities, and prescribes procedures for handling CUI throughout the DoD in accordance with Executive Order (E.O.) 13556; Part 2002 of Title 32, Code of Federal Regulations (CFR); and Defense Federal Acquisition Regulation Supplement (DFARS) Sections 252.204-7008 and 252.204-7012.

Proper CUI handling is required by the ISOO and DoD. This guide serves to aggregate CUI handling guidance from the ISOO, DoD, and all applicable laws and regulations. When guidance between the ISOO and DoD are different, this guide provides the strictest requirement to facilitate compliance with all regulations and policies.

## Defining CUI

CUI is defined in Section 2002.4 of Title 32 CFR as "information the Government creates or possesses, or that an entity creates or possesses for or on behalf of the Government, that a law, regulation, or Government-wide policy requires or permits an agency to handle using safeguarding or dissemination controls." CUI does not include classified information or information a non-executive branch entity possesses and maintains in its own systems that did not come from, or was not created or possessed by or for, an executive branch agency or an entity acting for an agency.

CUI is defined in law by the way in which the information is handled. CUI is not clearly defined in policy and regulation regarding the content of the information. In order to define CUI in a manner that is consistent with other information classifications, CUI should be defined by the potential impact to national defense that publicly releasing that information would cause. For example, SECRET information is defined by 18 CFR § 3a.11 and says “[t]he test for assigning Secret classification shall be whether its unauthorized disclosure could reasonably be expected to cause serious damage to the national security.” CUI is lacking a similar legal definition, but it could be reasonable to define CUI as information that its unauthorized disclosure could be

aggregated with additional information and reasonably be expected to cause a negative impact to the national security.

In the Intelligence Community classification guides are used by analysts to determine the classification level of the types of information they encounter on a regular basis. The CUI Registry can be used in a similar manner. The CUI Registry is the official archive of all CUI categories and should be referenced when determining if unmarked or newly generated information is, or could be considered, CUI. If the information is not covered by the CUI registry, then it is unclassified and does not require the same level of protection as CUI. Just because information is considered unclassified does NOT mean that it is intended for public release.

The NARA CUI Registry is located on The National Archive's website<sup>1</sup>, and the DoD CUI Registry hosted on Intelink<sup>2</sup>. The DoD CUI registry, located in Table 1, incorporates the entire NARA CUI Registry and adds an intelligence CUI category (GEOINT) as well as expounds upon the Operational Security category from the NARA CUI registry. In total, there are 145 categories of CUI in the DoD CUI registry.

### Federal Contract Information

Federal Contract Information (FCI) is not considered CUI but it is information not intended for public release. FCI is provided by or generated for the Government under a contract to develop or deliver a product or service to the Government, but not including information provided by the Government to the public (such as that on public Web sites) or simple transactional information, such as that necessary to process payments.

### Initial and Annual CUI Training

To facilitate the awareness and understating of CUI both the DoD and the ISOO have mandated CUI training. DoDI 5200.48, in accordance with ISO Notice 2016-01, mandates that every individual at every level, including DoD civilian and military personnel as well as contractors providing support to the DoD pursuant to contractual requirements, will comply with the requirements for initial and annual refresher CUI training. The CUI training must at a minimum:

- Identify individual responsibilities for protecting CUI
- Identify the organizational index with CUI categories routinely handled by DoD personnel
- Describe the CUI Registry, including purpose, structure, and location
- Describe the differences between CUI Basic and CUI Specified.
- Identify the offices or organizations with DoD CUI Program oversight responsibilities.
- Address CUI marking requirements as described in DoDI 5200.48
- Address the required physical safeguards and CUI protection methods as described in DoDI 5200.48
- Address the destruction requirements and methods as described in DoDI 5200.48
- Address the incident reporting procedures as described in DoDI 5200.48

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<sup>1</sup> <https://www.archives.gov/cui>

<sup>2</sup> <https://intelshare.intelink.gov/sites/ousdi/hcis/sec/icdirect/information/CUI/Forms/AllItems.aspx>.

- Address methods for properly disseminating CUI within the DoD and with external entities inside and outside of the Executive Branch
- Address the methods for properly decontrolling CUI as described in DoDI 5200.48

## CUI Basic

There are two flavors of CUI: CUI Basic and CUI Specified.

CUI Basic is defined in Section 2002.4 of Title 32 CFR as the subset of CUI for which the authorizing law, regulation, or Government-wide policy does not set out specific handling or dissemination controls. Agencies handle CUI Basic according to the uniform set of controls set forth in the CUI Registry. CUI Basic controls apply whenever CUI Specified controls do not cover the involved CUI. When marking CUI Basic information only the “CUI” banner and footer may be used.

## CUI Specified

Defined in Section 2002.4 of Title 32 CFR, CUI Specified is the subset of CUI in which the authorizing law, regulation, or Government-wide policy contains specific handling controls that it requires or permits agencies to use that differ from those for CUI Basic. When marking documents with CUI Specified information the banner and footer **MUST** include the “SP” followed by the CUI Specified category. For example, the category Chemical-terrorism Vulnerability Information will contain the banner and footer marking “CUI//SP-CVI”. The correct acronyms for each CUI specified category can be found in the NARA CUI registry.

## Handling CUI

Unlike with classified material, an individual or organization generally does not need to demonstrate a need-to-know to access CUI, unless required by a law, regulation, or governmentwide policy, but must have a lawful governmental purpose for such access. DoD CUI may be disseminated to DoD personnel to conduct official DoD and U.S. Government business in accordance with a law, regulation, or government-wide policy. No individual may have access to CUI information unless it is determined he or she has an authorized, lawful government purpose.

The person with authorized possession, knowledge, or control of CUI will determine whether an individual has an authorized, lawful government purpose to access designated CUI.

Everyone who has access to CUI has the responsibility to protect it!

## Dissemination

CUI information may be disseminated within the DoD Components and between DoD Component officials and DoD contractors, consultants, and grantees to conduct official business for the DoD, provided dissemination is consistent with controls imposed by a distribution statement or limited dissemination controls (LDC).

CUI access should be encouraged and permitted to the extent the access or dissemination:

1. Complies with the law, regulation, or government-wide policy identifying the information as CUI.
2. Furthers a lawful government purpose.
3. Is not restricted by an authorized LDC established by the CUI EA.
4. Is not otherwise prohibited by any other law, regulation, or government-wide policy.

### Limiting Dissemination Controls (LDC)

Limited Dissemination Controls (LDC) are additional controls placed on CUI to restrict the dissemination of the information. These LDCs may only be used for a lawful government purpose and must align to the existing CUI policies governing the CUI program. Only the designating agency may apply limited dissemination controls to CUI.

### Export Control Markings

As of March 6, 2020, when DoDI 5200.48 was published, DoD personnel will begin to use limited dissemination control markings for new CUI documents and materials except export controlled technical information, which must be marked with an export control warning. CUI export controlled technical information or other scientific, technical, and engineering information will still use distribution statements. Export controlled information must also be marked with an export control warning as directed in DoDI 5230.24, DoDD 5230.25, and Part 250 of Title 32, CFR.

### Decontrolling CUI

Agencies must promptly decontrol CUI properly determined by the CUI owner to no longer require safeguarding or dissemination controls, unless doing so conflicts with the related law, regulation, or government-wide policy in accordance with DoDI 5230.09.

CUI documents and materials will be formally reviewed in accordance with DoDI 5230.09 before being decontrolled or released to the public.

Decontrolling CUI through the public release process relieves authorized holders from requirements for handling information in accordance with the CUI Program. A prepublication review must be conducted in accordance with DoDI 5230.09 before public release may be authorized.

### Destroying CUI

There are two forms of media: hard copy and electronic (soft) media

Hard and soft copies of CUI should be completely destroyed, meaning they are rendered unreadable, indecipherable, and irrecoverable.

There are three ways to dispose of electronic media:

1. **Clearing:** Protects against non-invasive data recovery techniques by clearing data through standard Read and Write commands on device. Examples include rewriting or resetting the storage device.
2. **Purging:** Applies physical or logical techniques that render CUI recovery infeasible using state-of-the-art laboratory techniques.
3. **Destroying:** Like purging, state-of-the-art laboratory techniques are used to make the data impossible to retrieve. However, the media is then completely destroyed so that it cannot be used for storage again.

## CUI Markings

The authorized holder of a document or material is responsible for determining, at the time of creation, whether information in a document or material falls into a CUI category. If so, the authorized holder is responsible for applying CUI markings and dissemination instructions accordingly.

### Banners and Footers

At minimum, CUI markings for unclassified DoD documents will include the acronym “CUI” in the banner and footer of the document. If portion markings are selected, then all document subjects and titles, as well as individual sections, parts, paragraphs, or similar portions of a CUI document known to contain CUI, will be portion marked with “(CUI).” Use of the unclassified marking “(U)” as a portion marking for unclassified information within CUI documents or materials is required.

### CUI Marking in Classified Documents

CUI markings in classified documents will appear in paragraphs or subparagraphs known to contain only CUI and must be portion marked with “(CUI).” “CUI” will not appear in the banner or footer. There will be an acknowledgement added to the warning box on the first page of multi-page documents to alert readers to the presence of CUI in a classified DoD document, as shown in Figure 1.

**Figure 1. CUI Warning Box for Classified Material**

This content is classified at the [insert highest classification level of the source data] level and may contain elements of controlled unclassified information (CUI), unclassified, or information classified at a lower level than the overall classification displayed. This content shall not be used as a source of derivative classification; refer instead to [cite specific reference, where possible, or state “the applicable classification guide(s)”]. It must be reviewed for both Classified National Security Information (CNSI) and CUI in accordance with DoDI 5230.09 prior to public release. [Add a point of contact when needed.]

## CUI Marking in Unclassified Environments

The first page or cover of any document or material containing CUI, including a document with commingled classified information, will include a CUI designation indicator, as shown in Figure 2. Documents and materials containing CUI will require a generic “CUI” marking at the top and bottom of each page.

**Figure 2. CUI Designation Indicator for All Documents and Material**

Controlled by: [Name of DoD Component] (Only if not on letterhead)
Controlled by: [Name of Office]
CUI Category: (List category or categories of CUI)
Distribution/Dissemination Control:
POC: [Phone or email address]

In accordance with Part 2002 of Title 32, CFR, the CUI designation indicator must contain, at minimum, the name of the DoD Component determining that the information is CUI. If letterhead or another standard indicator of origination is used, this line may be omitted. The second line must identify the office making the determination. The third line must identify all types of CUI contained in the document. The fourth line must contain the distribution statement or the dissemination controls applicable to the document. The fifth line must contain the phone number or office mailbox for the originating DoD Component or authorized CUI holder.

## CUI Privacy and Security Notice

For systems, networks, and programs operating on the various domains, a splash screen warning and notice of consent, as shown in Figure 3 below, must be employed to alert users of CUI within the program.

Figure 3. Notice and Consent

"You are accessing a U.S. Government (USG) Information System (IS) that is provided for USG-authorized use only. By using this IS (which includes any device attached to this IS), you consent to the following conditions:

-The USG routinely intercepts and monitors communications on this IS for purposes including, but not limited to, penetration testing, COMSEC monitoring, network operations and defense, personnel misconduct (PM), law enforcement (LE), and counterintelligence (CI) investigations.

-At any time, the USG may inspect and seize data stored on this IS.

-Communications using, or data stored on, this IS are not private, are subject to routine monitoring, interception, and search, and may be disclosed or used for any USG-authorized purpose.

-This IS includes security measures (e.g., authentication and access controls) to protect USG interests--not for your personal benefit or privacy.

-Notwithstanding the above, using this IS does not constitute consent to PM, LE or CI investigative searching or monitoring of the content of privileged communications, or work product, related to personal representation or services by attorneys, psychotherapists, or clergy, and their assistants. Such communications and work product are private and confidential. See User Agreement for details."

## Safeguarding CUI

Protecting CUI under the control of an authorized holder. Authorized holders must take reasonable precautions to guard against unauthorized disclosure of CUI. They must include the following measures among the reasonable precautions:

1. Establish controlled environments in which to protect CUI from unauthorized access or disclosure and make use of those controlled environments
2. Reasonably ensure that unauthorized individuals cannot access or observe CUI, or overhear conversations discussing CUI
3. Keep CUI under the authorized holder's direct control or protect it with at least one physical barrier, and reasonably ensure that the authorized holder or the physical barrier protects the CUI from unauthorized access or observation when outside a controlled environment
4. Protect the confidentiality of CUI that agencies or authorized holders process, store, or transmit on Federal information systems in accordance with the applicable security requirements and controls established in FIPS PUB 199, FIPS PUB 200, and NIST SP 800-53, (incorporated by reference, see § 2002.2), and paragraph (g).

## Reporting Mishandling of CUI

Everyone has the responsibility to report the following:

- Any actual or suspected mishandling of CUI

- Any suspicious behaviors that could potentially compromise or lead to the misuse of CUI

**Report these incidents to your security manager or officer immediately.**

## DoD CUI Registry

Established by DoDI 5200.48, the DoD CUI Registry, located in Table 1, provides an official list of the Indexes and Categories used to identify the various types of DoD CUI. DoDI 5200.48 states that the DoD CUI Registry "mirrors" the National CUI Registry, however, DoD added a substantial number of CUI categories which are not in the Federal CUI program. The DoD CUI registry added Geospatial Intelligence (GEOINT) and 22 types of Operation Security that are not found in the Federal CUI Registry.

What the headings in the DoD CUI Registry mean:

**CUI Index:** These are a collection of categories that are similar in nature. The CUI Index is not a type of CUI, but just a group heading, and should not be used to label CUI.

**CUI Category:** This is the actual name of the type of CUI, and is grouped by content type into a CUI Index

**Basic or Specified:** CUI categories are either CUI Basic, CUI Specified, or both. When a CUI category is both CUI Basic and CUI Specified please reference the NARA CUI website to determine if the information in question is CUI Basic or CUI Specified.

CUI INDEX	CATEGORY	DESCRIPTION Plus DOD Info	BASIC or SPECIFIED
<b>Critical Infrastructure</b>		DoD: Systems and assets, whether physical or virtual, so vital the incapacity or destruction of such may have a debilitating impact on the security, economy, public health or safety, environment, or any combination of these matters, across any Federal, State, regional, territorial, or local jurisdiction. Possession of system types (vendor, model/serial #, year, etc.) allows for malicious actors to narrow attack vectors to systems that support the Defense Critical Infrastructure potentially causing degradation or mission failure. DCI comprises Defense Critical Assets and Task Critical Assets.	
	Ammonium Nitrate	Related to registration information of those who own and operate ammonium nitrate facilities, purchasers of ammonium nitrate, and the regulation of sales and transfers of ammonium nitrate.	Specified
	Chemical-terrorism Vulnerability Information	In accordance with Section 550(c) of the Department of Homeland Security Appropriations Act of 2007, the following information, whether transmitted verbally, electronically, or in written form, shall constitute CVI, see (1) - (9).	Basic // Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Critical Energy Infrastructure Information	Critical energy infrastructure information means specific engineering, vulnerability, or detailed design information about proposed or existing critical infrastructure that: (i) Relates details about the production, generation, transportation, transmission, or distribution of energy; (ii) Could be useful to a person in planning an attack on critical infrastructure;... and (iii) Does not simply give the general location of the critical infrastructure.	Specified
	Emergency Management	Related to information concerning the continuity of executive branch operations during all-hazards emergencies or other situations that may disrupt normal operations. // DoD applies this to Continuity of Operations Planning (COOP) and Mission Assurance.	Basic
	General Critical Infrastructure Information	Systems and assets, whether physical or virtual, so vital that the incapacity or destruction of such may have a debilitating impact on the security, economy, public health or safety, environment, or any combination of these matters, across any Federal, State, regional, territorial, or local jurisdiction.	Basic
	Geodetic Product Information	Related to imagery, imagery intelligence, or geospatial information.	Basic
	Information Systems Vulnerability Information	Related to information that if not protected, could result in adverse effects to information systems. Information system means a discrete set of information resources organized for the collection, processing, maintenance, use, sharing, dissemination, or disposition of information.	Basic
	Physical Security	Related to protection of federal buildings, grounds or property.	Basic // Specified
	Protected Critical Infrastructure Information	As defined by 6 USC 131-134, and 6 CFR 29, PCII relates to threats, vulnerabilities, or operational experience related to the national infrastructure. PCII offers protection to private sector infrastructure information voluntarily shared with government entities for purposes of homeland security. // Possession of system types (vendor, model/serial #, year, etc.) allows for malicious actors to narrow attack vectors to systems that support the Defense Critical Infrastructure potentially causing degradation or mission failure. DCI comprises Defense Critical Assets and Task Critical Assets.	Specified
	SAFETY Act Information	Defined as “SAFETY Act Confidential Information” in 6 CFR Part 25, the regulations implementing the Support Anti-terrorism by Fostering Effective Technologies Act of 2002, SAFETY Act Information includes any and all information and data voluntarily submitted to the Department of Homeland Security under this part (including Applications, Pre-Applications, other forms, supporting documents and other materials relating to any of the foregoing, and responses to requests for additional information), including, but not limited to, inventions, devices, Technology, know-how, designs, copyrighted information, trade secrets, confidential business information, analyses, test and evaluation results, manuals, videotapes, contracts, letters, facsimile transmissions, electronic mail and other correspondence, financial information and projections, actuarial calculations, liability estimates, insurance quotations, and business and marketing plans.	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Toxic Substances	Health, safety, and exposure information related to chemical substances, chemical mixtures, and articles as defined under the Toxic Substances Control Act (TSCA).	Specified
	Water Assessments	Vulnerability Assessments on the risks and security of public drinking water systems, to include, but not be limited to, a review of pipes and constructed conveyances, physical barriers, water collection, pretreatment, treatment, storage and distribution facilities, electronic, computer or other automated systems which are utilized by the public water system, the use, storage, or handling of various chemicals, and the operation and maintenance of such system.	
<b>Defense</b>			
	Controlled Technical Information	Controlled Technical Information means technical information with military or space application subject to controls on the access, use, reproduction, modification, performance, display, release, disclosure, or dissemination. Controlled technical information is to be marked with one of the distribution statements B through F, in accordance with Department of Defense Instruction 5230.24, "Distribution Statements of Technical Documents." The term does not include information that is lawfully publicly available without restrictions. "Technical Information" means technical data, technology, software, or computer software, including technology and software subject to the Export Administration Regulations (EAR), technical data subject to the International Traffic in Arms Regulations (ITAR), and technical information in Defense Federal Acquisition Regulation Supplement clause 252.227-7013, "Rights in Technical Data - Noncommercial Items" (48 CFR 252.227-7013). Examples of technical information include research and engineering data, engineering drawings, and associated lists, specifications, standards, process sheets, manuals, technical reports, technical orders, catalog-item identifications, data sets, studies and analyses and related information, and computer software executable code and source code.	Basic // Specified
	DoD Critical Infrastructure Security Information	Information, if disclosed, would reveal vulnerabilities in the DoD critical infrastructure and, if exploited, would likely result in the significant disruption, destruction, or damage of or to DoD operations, property, or facilities, including information regarding the securing and safeguarding of explosives, hazardous chemicals, or pipelines, related to critical infrastructure or protected systems owned or operated on behalf of the DoD, including vulnerability assessments prepared by or on behalf of the DoD, explosives safety information (including storage and handling), and other site-specific information on or relating to installation security.	Basic
	Naval Nuclear Propulsion Information	Related to the safety of reactors and associated naval nuclear propulsion plants, and control of radiation and radioactivity associated with naval nuclear propulsion activities, including prescribing and enforcing standards and regulations for these areas as they affect the environment and the safety and health of workers, operators, and the general public.	Basic // Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Unclassified Controlled Nuclear Information - Defense	Relating to Department of Defense special nuclear material (SNM), equipment, and facilities, as defined by 32 CFR 223.	Basic // Specified
<b>Export Control</b>		DoD primarily uses Export Control as a dissemination/distribution control rather than a category.	
	Export Controlled	Unclassified information concerning certain items, commodities, technology, software, or other information whose export could reasonably be expected to adversely affect the United States national security and nonproliferation objectives. To include dual use items; items identified in export administration regulations, international traffic in arms regulations and the munitions list; license applications; and sensitive nuclear technology information.	Basic // Specified
	Export Controlled Research	Related to the systematic investigation into and study of materials and sources in order to establish facts and reach new conclusions.	Basic
<b>Financial</b>			
	Bank Secrecy	Information that is provided to the government pursuant to the Bank Secrecy Act, including but not limited to, suspicious activity reports (SAR), currency transaction reports (CTR), reports of international transportation of currency or monetary instruments (CMIR), reports of cash payment over \$10,000 received in trade or business, and reports of foreign bank and financial accounts (FBAR). Reports filed under the Bank Secrecy Act (BSA), codified in relevant part at 31 U.S.C. § 5311 et seq, are specifically exempt from disclosure under the Freedom of Information Act, codified at 5 U.S.C. § 552, and also may not be disclosed under any State, local, tribal, or territorial “freedom of information,” “open government,” or similar law. See 31 U.S.C. § 5319; 5 U.S.C. § 552(b)(3). These reports (BSA Reports), are maintained in a system of records containing information compiled for law enforcement investigative purposes that has been exempted from the access provisions of the Privacy Act in accordance with 5 U.S.C. §§ 552a(j)(2) and (k)(2). BSA Reports may only be re-disseminated in strict accordance with guidelines established by the Financial Crimes Enforcement Network (FinCEN), the Treasury bureau that administers the BSA. Suspicious Activity Reports, one of the types of required reports filed under the BSA, are required to be kept confidential in accordance with 31 U.S.C. § 5318(g)(2) and implementing regulations. To the extent information falling under the purview of the BSA is collected, accessed, or used for any Federal tax administration purpose, it is also subject to the confidentiality provisions of the Internal Revenue Code, codified at 26 U.S.C. § 6103.	Basic // Specified
	Budget	Related to information concerning the federal budget, including authorizations and estimates of income and expenditures.	Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Comptroller General	Concerning the Officer of the United States Government who is charged with duties relating to fiscal affairs, including auditing, examining accounts, and reporting the financial status of the United States Government.	Basic // Specified
	Consumer Complaint Information	Related to information concerning consumer complaints or inquiries concerning financial institutions or consumer financial products and services, and responses to them.	Specified
	Electronic Funds Transfer	Relating to the computer-based systems used to perform financial transactions electronically.	Basic
	Federal Housing Finance Non-Public Information	Related to information that the Federal Housing Finance Agency (FHFA) has not made public that is created by, obtained by, or communicated to an FHFA employee in connection with the performance of official duties, regardless of who is in possession of the information, including confidential supervisory information. Confidential supervisory information includes FHFA reports of examination, inspection and visitation, confidential operating and condition reports, and any information derived from, related to, or contained in such reports, or gathered by FHFA in the course of any investigation, suspicious activity report, cease-and-desist order, civil money penalty enforcement order, suspension, removal or prohibition order, or other supervisory or enforcement orders or actions taken under the Federal Housing Enterprises Financial Safety and Soundness Act of 1992, and other conditions as set forth in 12 CFR Part 1214.1.	Basic
	Financial Supervision Information	Related to information connected to an agency's responsibilities to supervise, examine, and evaluate a financial institution.	Basic
	General Financial Information	Related to the duties, transactions, or otherwise falling under the purview of financial institutions or United States Government fiscal functions. Uses may include, but are not limited to, customer information held by a financial institution.	Basic // Specified
	International Financial Institutions	Relating to entities that provide financial services for its clients or members and were established (or chartered) by more than one country, and hence are subjects of international law.	Basic
	Mergers	Relating to methods by which corporations legally unify ownership of assets formerly subject to separate controls.	Basic
	Net Worth	Related to the net worth of an individual and/or their affiliates in certain administrative proceedings.	Specified
	Retirement	Related to post-employment funding provided by an employer.	Basic
<b>Immigration</b>			
	Asylee	Related to refugee applications and associated hearings to grant asylum to foreign nationals in the United States to be recognized as asylees.	Basic
	Battered Spouse or Child	Related to information within applications and associated hearings provided by, or that could identify, a battered spouse or child of a US citizen or US permanent resident seeking independent protected status within the United States.	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Permanent Resident Status	Related to applications and associated hearings to grant permanent residency to foreign nationals living in the United States.	Basic
	Status Adjustment	Related to applications for the adjustment of immigration status.	Basic
	Temporary Protected Status	Related to findings that conditions in a given country pose a danger to personal safety due to ongoing armed conflict or an environmental disaster and persons should receive special temporary status to remain in the United States.	Basic
	Victims of Human Trafficking	Related to identifiable information of persons who have been victims of human trafficking and their family members.	Basic
	Visas	Related to applications or permits to enter the United States.	Basic
<b>Intelligence</b>			
	Agriculture	Information related to the agricultural operation, farming or conservation practices, or the actual land of an agricultural producer or landowner.	Specified
	Foreign Intelligence Surveillance Act	Related to unclassified and declassified information that is collected from unconsenting individuals under the authority of the Foreign Intelligence Surveillance Act (FISA).	Specified
	Foreign Intelligence Surveillance Act Business Records	Related to books, records, papers, documents, and other items produced for an investigation to obtain foreign intelligence information.	Specified
	General Intelligence	Related to intelligence activities, sources, or methods.	Basic // Specified
	Geospatial Intelligence (GEOINT)	This type of CUI relates to special imagery, imagery intelligence, or geospatial intelligence information produced by or on behalf of the National Geospatial-Intelligence Agency (NGA). The NGA identifies a select group of sensitive, unclassified intelligence imagery or geospatial intelligence information and data created or distributed by NGA or information, data, and products derived from such information.	Basic // Specified
	Intelligence Financial Records	Related to financial records obtained for intelligence or counterintelligence activity, investigation, or analysis.	Specified
	Internal Data	Refers to a category of information that is not intended to be disseminated beyond CIA channels that involves intelligence activities, sources, or methods. This information may also relate to the CIA's organization, functions, names, official titles, salaries, or numbers of personnel.	Basic // Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
<b>International Agreements</b>			
	International Agreement Information	Information provided by, otherwise made available by, or produced in cooperation with, a foreign government or international organization that requires protection pursuant to an existing treaty, agreement, bilateral exchange or other obligation under the requirements stipulated in 10 USC 130c(b), when not subject to classification under Executive Order 13526. Title 10 USC 130c(b) may exempt this class of foreign government information from the safeguard provisions otherwise required by Executive Order 13526. Per Title 10 USC 130c(h) the following national security officials are the only ones defined by statute as able to determine such information requires control: (A) The Secretary of Defense, with respect to information of concern to the Department of Defense. (B) The Secretary of Homeland Security, with respect to information of concern to the Coast Guard, as determined by the Secretary, but only while the Coast Guard is not operating as a service in the Navy. (C) The Secretary of Energy, with respect to information concerning the national security programs of the Department of Energy, as determined by the Secretary.	Specified
<b>Law Enforcement</b>			
	Accident Investigation	Related to information obtained during the course of an accident or incident investigation. Including but not limited to information related to wreckage, records, mail, or cargo.	Specified
	Campaign Funds	Related to information obtained in connection to an investigation into campaign finance and disclosure laws. Usage may include but is not limited to notification or investigation pertaining to financial support of a candidate for election.	Specified
	Committed Person	Related to information concerning the mental condition of a person committed to a psychiatric facility.	Basic
	Communications	Related to the contents of any wire, oral, or electronic communication.	Basic
	Controlled Substances	Information obtained by the Drug Enforcement Administration (DEA) or in DEA investigative reports related to controlled substances.	Specified
	Criminal History Records Information	Related to information collected by criminal justice agencies on individuals consisting of identifiable descriptions and notations of arrests, detentions, indictments, information, or other formal criminal charges, and any disposition arising therefrom, including acquittal, sentencing, correctional supervision, and release.	Specified
	DNA	Related to hereditary material in humans that is used for law enforcement purposes.	Specified
	General Law Enforcement	Related to techniques and procedures for law enforcement operations, investigations, prosecutions, or enforcement actions.	Basic
	Informant	Related to the identity of a human source.	Basic // Specified
	Investigation	Related to information obtained during the course of a law enforcement investigation or action, civil or criminal.	Basic // Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Juvenile	Related to the identity of individual juvenile youths.	Basic
	Law Enforcement Financial Records	Related to financial records obtained for law enforcement purposes.	Specified
	National Security Letter	Related to administrative orders sent to compel the recipients of the letters to provide information to federal investigators.	Basic
	Pen Register/Trap & Trace	Related to devices used to identify incoming and outgoing telephone numbers.	Basic
	Reward	Related to the identity of a recipient of a reward.	Basic
	Sex Crime Victim	Related to the identity of a victim of a sex offense.	Basic
	Terrorist Screening	Related to information gathering and analysis concerning possible threats or acts of a destructive nature.	Basic
	Whistleblower Identity	Identity of anyone providing information relating to a legal violation or illicit or unsafe activity, including information provided by a whistleblower which could reasonably be expected to reveal the identity of a whistleblower.	Basic // Specified
<b>Legal</b>			
	Administrative Proceedings	Adjudication of agency-related matters including, but not limited to, dispute resolution, settlements, and issuances of orders.	Basic // Specified
	Child Pornography	From 18 USC 2256(8) "child pornography" means any visual depiction, including any photograph, film, video, picture, or computer or computer-generated image or picture, whether made or produced by electronic, mechanical, or other means, of sexually explicit conduct, where— (A) the production of such visual depiction involves the use of a minor engaging in sexually explicit conduct; (B) such visual depiction is a digital image, computer image, or computer-generated image that is, or is indistinguishable from, that of a minor engaging in sexually explicit conduct; or (C) such visual depiction has been created, adapted, or modified to appear that an identifiable minor is engaging in sexually explicit conduct	Specified
	Child Victim/Witness	Information pertaining to a minor who was a victim, witness, or potential witness to a criminal act.	Basic
	Collective Bargaining	Defining agencies' and representatives' duty to negotiate in good faith to include disclosure of certain labor relations training and guidance materials and limiting the issuance of certain subpoenas.	Basic
	Federal Grand Jury	Material obtained pursuant to a federal grand jury subpoena, which includes (1) any reference to a specific sitting grand jury; (2) any documentation or data obtained by a grand jury subpoena if disclosure of such material tends to reveal what transpired before or at the direction of the federal grand jury; (3) documentation prepared specifically for the federal grand jury; and (4) transcripts or other recordings of testimony presented to the federal grand jury.	Basic // Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Legal Privilege	Per 12 USC 78x: The term "privilege" includes any work-product privilege, attorney-client privilege, governmental privilege, or other privilege recognized under Federal, State, or foreign law. Per 502(g): (1) "attorney-client privilege" means the protection that applicable law provides for confidential attorney-client communications; and (2) "work-product protection" means the protection that applicable law provides for tangible material (or its intangible equivalent) prepared in anticipation of litigation or for trial.	Basic
	Legislative Materials	Data related to Congress's legislative, investigatory or oversight responsibilities of the Executive branch of the Federal government. This includes data related to proposed or pending legislation as well as inquiries submitted by Congress to Federal agencies, agency responses to those inquiries and any other information which, if disclosed, would reveal the nature and scope of Congressional inquiries.	Basic
	Presentence Report	A report generally prepared to assist the court in determining the most appropriate sentence for a defendant. It can include an assessment of the nature and seriousness of the offense and should contain details summarizing the background information of the defendant and the crime.	Basic
	Prior Arrest	Information related to previous instances of law enforcement official's apprehension and formal processing of a suspect.	Basic
	Protective Order	Stipulation that certain information that would normally fall under discovery rules will not be disclosed for specifically stated reason.	Basic // Specified
	Victim	Information requiring protection of the name or other details that may identify one who was the victim of a crime.	Basic
	Witness Protection	Information related to the secretive details associated with one who has testified or may testify under circumstances that require secrecy of that individual and details pertaining to that person.	Basic // Specified
<b>Natural and Cultural Resources</b>			
	Archaeological Resources	Related to information about the nature and location of any archaeological resource for which the excavation or removal requires a permit or other permission.	Specified
	Historic Properties	Related to the location, character, or ownership of historic property.	Specified
	National Park System Resources	Related to information concerning the nature and specific location of a National Park System resource that is endangered, threatened, rare, or commercially valuable, of mineral or paleontological objects within System units, or of objects of cultural patrimony within System units.	Specified
<b>North Atlantic Treaty Organization (NATO)</b>			
	NATO Restricted	Per the United States Security Authority for NATO, Instruction 1-07, information classified as ""NATO Restricted"", the fourth level of classification under the North Atlantic Treaty, requires safeguards and protection from public release and disclosure. This category does not apply to information classified NATO Confidential, NATO SECRET or NATO COSMIC TOP SECRET.	Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	NATO Unclassified	Per the United States Security Authority for NATO, Instruction 1-07, this is information classified as ""NATO Unclassified"", which may only be used for official NATO purposes and may carry administrative or dissemination limitation markings.	Specified
<b>Nuclear</b>			
	General Nuclear	Application for patent filed under 35 U.S.C. 111(a) that includes all types of patent applications (i.e., utility, design, plant, and reissue) except provisional applications. The nonprovisional application establishes the filing date and initiates the examination process. A nonprovisional utility patent application must include a specification, including a claim or claims; drawings, when necessary; an oath or declaration; and the prescribed filing fee.	Basic // Specified
	Nuclear Recommendation Material	Related to recommendations to the Secretary of Energy with respect to Department of Energy defense nuclear facilities as determined necessary to ensure adequate protection of public health and safety.	Basic
	Nuclear Security-Related Information	Related to information that could be useful, or could reasonably be expected to be useful, to a terrorist in a potential attack that does not qualify as Safeguards or classified information, including the exact location and quantities of radioactive material, certain detailed design drawings, information on nearby facilities, emergency planning information, and certain assessments of vulnerability and safety analyses.	Basic // Specified
	Safeguards Information	Pursuant to 42 USC 2011, et seq., and as defined in 10 CFR 73.2, SGI relates to security related information concerning the physical protection of source, byproduct or special nuclear material and the detailed security measures for facilities and information contained within security plans.	Specified
	Unclassified Controlled Nuclear Information - Energy	Relating to certain design and security information concerning nuclear facilities, materials, and weapons, specific to the Department of Energy.	Basic // Specified
<b>Patent</b>			
	Patent Applications	Application for patent filed under 35 U.S.C. 111(a) that includes all types of patent applications (i.e., utility, design, plant, and reissue) except provisional applications. The nonprovisional application establishes the filing date and initiates the examination process. A nonprovisional utility patent application must include a specification, including a claim or claims; drawings, when necessary; an oath or declaration; and the prescribed filing fee.	Basic // Specified
	Inventions	An invention is any art or process (way of doing or making things), machine, manufacture, design, or composition of matter, or any new and useful improvement thereof, or any variety of plant, which is or may be patentable under the patent laws of the United States, in which the federal government owns or may own a right, title, or interest.	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Secrecy Orders	An order by the Commissioner of Patents that an invention be kept secret and to withhold the publication of an application or the grant of a patent due to national security concerns.	Basic
<b>Privacy</b>			
	Contract Use	Stipulations for a contractor to meet before material may be used in performance of certain contracts.	Specified
	Death Records	Related to information contained within an official document issued by a public registry verifying that a person has died, with information such as the date and time of death, the cause of death, and the signature of the attending or examining physician.	Basic
	General Privacy	Refers to personal information, or, in some cases, "personally identifiable information," as defined in OMB M-17-12, or "means of identification" as defined in 18 USC 1028(d)(7).	Basic // Specified
	Genetic Information	The term "genetic information" means, with respect to any individual, information about-- (i) such individual's genetic tests, (ii) the genetic tests of family members of such individual, and (iii) the manifestation of a disease or disorder in family members of such individual.	Basic // Specified
	Health Information	As per 42 USC 1320d(4), "health information" means any information, whether oral or recorded in any form or medium, that (A) is created or received by a health care provider, health plan, public health authority, employer, life insurer, school or university, or health care clearinghouse; and (B) relates to the past, present, or future physical or mental health or condition of an individual, the provision of health care to an individual, or the past, present, or future payment for the provision of health care to an individual.	Basic // Specified
	Inspector General Protected	Related to the identity of a person making a report to the Inspector General of any Executive agency.	Basic // Specified
	Military Personnel Records	Any member or former member of the armed forces or affiliated organization of the Department of Defense.	Basic
	Personnel Records	Related to the employees of federal agencies.	Basic // Specified
	Student Records	As per 20 USC 1232g, the Family Educational Rights and Privacy Act of 1974, an education record which is comprised of those records which are directly related to a student.	Basic // Specified
<b>Procurement and Acquisition</b>			
	General Procurement and Acquisition	Material and information relating to, or associated with, the acquisition and procurement of goods and services, including but not limited to, cost or pricing data, contract information, indirect costs and direct labor rates.	Specified
	Small Business Research and Technology	Relating to certain "Small Business Innovation Research Program" and "Small Business Technology Transfer Program" information in a government database, as referenced in 15 USC 638(k)(2).	Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Source Selection	Per FAR 2.101: any of the following information that is prepared for use by an agency for the purpose of evaluating a bid or proposal to enter into an agency procurement contract, if that information has not been previously made available to the public or disclosed publicly: (Items 1-10).	Basic // Specified
<b>Proprietary Business Information</b>			
	General Proprietary Business Information	Material and information relating to, or associated with, a company's products, business, or activities, including but not limited to financial information; data or statements; trade secrets; product research and development; existing and future product designs and performance specifications.	Basic // Specified
	Ocean Common Carrier and Marine Terminal Operator Agreements	Relating to agreements between or among ocean common carriers and marine terminal operators as referenced in 46 USC 40301 and 40306.	Basic
	Ocean Common Carrier Service Contracts	Relating to an agreement for the provision of services filed with the Federal Maritime Commission as referenced in 46 USC 40502(b), 46 CFR 530.4, and/or 46 CFR 531.4(a).	Basic
	Proprietary Manufacturer	Relating to the production of a consumer product to include that of a private labeler.	Specified
	Proprietary Postal	Concerning or related to the course of business of the United States Postal Service.	Basic
	System for Award Management	Relating to the primary United States Government system for contractor registration and awards.	Basic
<b>Provisional - DHS</b>			
	Homeland Security Agreement Information	Information DHS receives and is required to protect pursuant to an agreement with state, local, tribal, territorial, and private sector partners. DHS receives this information in furtherance of the missions of the Department, including but not limited to, support of the Fusion Center Initiative and activities for cyber information sharing consistent with the Cybersecurity Information Security Act.	Basic
	Homeland Security Enforcement Information	Unclassified information of a sensitive nature lawfully created, possessed, or transmitted by DHS in furtherance of its immigration, customs, and other civil and criminal enforcement missions, the unauthorized disclosure of which could adversely impact the mission of the Department.	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Information Systems Vulnerability Information - Homeland	<p>a. DHS information technology internal systems data revealing infrastructure used for servers, desktops, and networks; applications name, version and release; switching, router, and gateway information; interconnections and access methods; mission or business use/need. Examples of information are systems inventories and enterprise architecture models.</p> <p>Information pertaining to national security systems and eligible for classification under Executive Order 13526, will be classified as appropriate.</p> <p>b. Information regarding developing or current technology, the release of which could hinder the objectives of DHS, compromise a technological advantage or countermeasure, cause a denial of service, or provide an adversary with sufficient information to close, counterfeit, or circumvent a process or system.</p>	Basic
	International Agreement Information - Homeland	Information DHS receives and is required to protect pursuant to an information sharing agreement or arrangement with a foreign government, an international organization of governments or any element thereof, an international or foreign public or judicial body, or an international or foreign private or non-governmental organization.	Basic
	Operations Security Information	Unclassified information that could constitute an indicator of U.S. Government intentions, capabilities, operations, or activities or otherwise threaten/compromise operations security.	Basic
	Personnel Security Information	Information that could result in physical risk to DHS personnel or other individuals that DHS is responsible for protecting.	Basic
	Physical Security - Homeland	Assessments or reports illustrating or disclosing facility infrastructure or security vulnerabilities related to the protection of federal buildings, grounds, or property, such as threat assessments, system security plans, contingency plans, risk management plans, business impact analysis studies, and certification and accreditation documentation.	Basic
	Privacy Information	Information referred to as Personally Identifiable Information (PII). PII embodies information that can be used to distinguish or trace an individual's identity, either alone or when combined with other information that is linked or linkable to a specific individual.	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Sensitive Personally Identifiable Information	<p>A subset of PII that, if lost, compromised or disclosed without authorization could result in substantial harm, embarrassment, inconvenience, or unfairness to an individual. Some forms of PII are sensitive as stand-alone elements.</p> <p>a. Examples of stand-alone PII include: Social Security Numbers (SSN), driver's license or state identification number; Alien Registration Numbers; financial account number; and biometric identifiers such as fingerprint, voiceprint, or iris scan.</p> <p>b. Additional examples of SPII include any groupings of information that contain an individual's name or other unique identifier plus one or more of the following elements:</p> <ol style="list-style-type: none"> <li>1) Truncated SSN (such as last four digits)</li> <li>2) Date of birth (month, day, and year)</li> <li>3) Citizenship or immigration status</li> <li>4) Ethnic or religious affiliation</li> <li>5) Sexual orientation</li> <li>6) Criminal history</li> <li>7) Medical information</li> <li>8) System authentication information such as mother's maiden name, account passwords, or personal identification numbers.</li> </ol> <p>c. Other PII may be "sensitive" depending on its context, such in as a list of employees and their performance rating(s) or an unlisted home address or phone number. In contrast, a business card or public telephone directory of agency employees contains PII, but is not sensitive.</p>	Basic
<b>Statistical</b>			
	Investment Survey	Information reported to Treasury, the Federal Reserve Board of Governors, or the Federal Reserve Banks as part of the Treasury International Capital (TIC) data reporting system.	Basic
	Pesticide Producer Survey	Related to the data gathered regarding the production of pesticides that specifies the non-disclosure of the identity and location of individual producers.	Basic
	Statistical Information	Refers to information collected by a Federal statistical agency, unit, or program for statistical purposes or used for statistical activities; under law, regulation, or Government-wide policy such 'Statistical' CUI requires: (1) protection from unauthorized disclosure; (2) special handling safeguards; and/or (3) prescribed limits on access or dissemination.	Basic // Specified
	US Census	Related to information gathered by the Bureau of the Census during the process of collecting, compiling, evaluating, analyzing of demographic, economic, and social data pertaining at a specified time to any or all persons in the United States and dissemination is limited to those with special sworn status, who may only use the data for statistical purposes and only for those statistical purposes for which the data was supplied.	Specified
<b>Tax</b>			

<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Federal Taxpayer Information	Related to returns and return information which are submitted, gathered or generated in conjunction with taxpayers' responsibilities to comply with federal tax provisions in the United States Code. "Returns" includes information that is provided to the government pursuant to Title 26, including tax or information returns, declarations of estimated tax or claims for refund. "Return information" includes a taxpayer's identity, the nature, source or amount of income or any information received by, recorded by, prepared by or furnished to Internal Revenue Service relevant to the determination of tax liability including whether the taxpayer is the subject of investigation. This protection extends to such items as medical, financial and other personal information submitted to the IRS by taxpayers. Standards (typically tolerances, audit criteria and law enforcement techniques) related to the selection of returns for examination should only be disclosed to the extent their disclosure would not impair assessment, collection or enforcement under the internal revenue laws. Tax data originating with the IRS generally retains its confidential status even when it resides with agencies other than the IRS.	Specified
	Tax Convention	Related to any--(A) agreement entered into with the competent authority of one or more foreign governments pursuant to a tax convention, (B) application for relief under a tax convention, (C) background information related to such agreement or application, (D) document implementing such agreement, and (E) other information exchanged pursuant to a tax convention which is treated as confidential or secret under the tax convention. Tax convention information originating with the IRS generally retains its confidential status even when it resides with agencies other than the IRS.	Basic
	Taxpayer Advocate Information	A local taxpayer advocate (LTA) has the discretion to not disclose to the IRS contact with, or information provided by, a taxpayer. Such discretion may result in declinations of requests for information by IRS personnel including cases involving criminal tax investigations or those where the failure to provide information to the IRS would be beneficial to the taxpayer but to the detriment of the IRS. Such discretion does not extend to cases where the LTA believes a taxpayer is using the Taxpayer Advocate's office to perpetuate a fraud on the government or in cases where the information is sought in litigation.	Basic
	Written Determinations	Rulings, determination letters, technical advice memoranda or Chief Counsel Advice, as those terms are defined in Treasury Regulation 301.6110-2, which are made available for public inspection subject to the withholding of certain types of data as enumerated in Treasury Regulation 301-6110.	Specified

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
<b>Transportation</b>			
	Railroad Safety Analysis Records	Related to the establishment, implementation, or modification of a railroad safety risk reduction program or pilot program, if the record is: (1) Supplied to the Secretary (of Transportation) pursuant to that safety risk reduction program or pilot program; or (2) made available for inspection and copying by an officer, employee, or agent of the Secretary pursuant to that safety risk reduction program or pilot program.	Basic
	Sensitive Security Information	As defined in 49 C.F.R. Part 15.5, Sensitive Security Information is information obtained or developed in the conduct of security activities, including research and development, the disclosure of which DOT has determined would constitute an unwarranted invasion of privacy, reveal trade secrets or privileged or confidential information, or be detrimental to transportation safety. As defined in 49 C.F.R. Part 1520.5, Sensitive Security Information is information obtained or developed in the conduct of security activities, including research and development, the disclosure of which DHS/TSA has determined would, among other things, be detrimental to the security of transportation.	Specified
<b>Operation Security (OPSEC)</b>			
	Security Classification & Declassification Guides	This Defense CUI type addresses the information related to identification, safeguarding, dissemination, and decontrol requirements covered in the security classification guides (SCG) through its classification and declassification determination processes. It provides the necessary protection measures and particular levels of security classification for the DoD Components to protect and control CUI identified in the SCGs, including information on known capabilities, limitations, and vulnerabilities, limited dissemination controls, and decontrol measures, and procedures to ensure DoD's enhanced capabilities and superiority within the warfighter realm. General or some specific processes applied, system/network monitoring techniques, DoD specific materials or equipment, and the overarching CUI procedures used in the development, maintenance, or upgrading of DoD resources, supplies, and apparatuses. This type of information is subject to export control regulations.	Basic
	Agricultural & Health Operations	Information related to the agricultural operation, farming or conservation practices, or the actual land of an agricultural producer or landowner. Additionally, information about nutritional requirements, immunizations, vaccines, service providers/suppliers, veterinary services, MRE information, & others.	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Applied Research & Development	This Defense CUI type consists of information resulting from the extension of fundamental theories, designs, and data from purely theoretical or experimental investigation into possible defense applications. This type of CUI moves concepts and ideas from the imagination into the realm of the possible. It includes the actual research, construction, and testing of prototypes and such design changes affecting qualitative performance as may be required during service life of the item. Engineering data, general operational requirements, various notional drawings, project and model concepts as well as the military features required to adopt the item for prototyping and production. The authorities establish export-controlled technical data/technology associated with this category.	
	Combined Military Operations, Planning, and Readiness	This Defense CUI type addresses the information related to policies and procedures necessary to the organization, training, and employment of military, paramilitary, or irregular forces within the information operations realm to include: Joint Operations, Coalition Operations, and other related areas. It includes non-specific technical data and procedures necessary to conduct individual and organization level activities supporting these types of missions and functions. General or some specific methodologies and techniques used, overarching strategy and doctrine, and the principal procedures used by commands for force deployments. Example 1: Agreements with host-nation hospitals or medical centers to ensure members of the Armed Forces and covered beneficiaries have access, within a reasonable distance, to quality healthcare, including case management and translation services. Data about installations located within a territory under the jurisdiction of, or of a direct concern to, a recipient foreign government or international organization, and information contained in unclassified training manuals and most joint publications. This information is subject to export control regulations.	Basic
	Cybersecurity // Defense Information and Systems Vulnerability Information	This Defense CUI type addresses the information related to tactics, techniques, and tactical necessary to protect (secure and defend) the DoD information network and systems. It includes only non-specific technical data, the specific documents used in conducting assessments and inspections of systems and networks (Command Cyber Readiness Inspections – CCRI; Network Scans; CMMC; etc.), training techniques, and tactical procedures necessary to operate and maintain individual and networks, systems, and supporting software. General or some specific methodologies, system/network monitoring techniques, and the overarching procedures used in assessment and certification of cyber components contained in unclassified training manuals and joint publications, and authorities assume there is export-controlled technical data/technology associated with this category. May also include incident handling procedures, information relating to cyber security incidents, etc.	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	Defense Critical Infrastructure and Mission Assurance Security Information	This Defense CUI type would include information, if disclosed, would reveal vulnerabilities in the DoD critical infrastructure and mission assurance security posture and practices, if exploited, would likely result in the significant disruption, decontrolling, or damage of or to DoD operations, property, or facilities. Information regarding the securing and safeguarding of explosives, hazardous chemicals, or pipelines, related to critical infrastructure or protected systems owned or operated on behalf of the DoD. Some examples would include policies and practices related to COG, High Value personnel, and COOP.	Basic
	DoD Critical Infrastructure Protection Program (DCIP)	This Defense CUI type would include information, if disclosed, would reveal threats, hazards, and known vulnerabilities within the DoD to assets, systems, and capabilities, if exploited, would likely have a significant impact resulting in a substantial degradation or failure of or to DoD operations and functions. Information gathered from open sources on threats, historical hazard data, publicly available imagery, etc. This also include names, locations, or other open source data or information related to defense critical assets - not directly linked to missions supported.	Basic
	DoD Mission Assurance Information (MA)	This Defense CUI type would include information, if disclosed, would reveal risk management strategies applied to DoD Mission Assurance posture and practices, if exploited, would likely result in the significant impact or damage to DoD operations or functions resulting in mission or function degradation or failure. Proposed response measures taken to mitigate or remediate vulnerability assessment findings and intelligence collected to include those prepared by or on behalf of the DoD and other site-specific or asset-specific information without direct disclosure of correlated missions or functions; (e.g. benchmarks used in assessment; the criteria applied during Command Cyber Readiness Inspections (CCRI); self-inspection forms; Joint Mission Assurance Assessments); NIST SP 800-171 Compliance Inspections and processes (e.g., CMMC).	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
	DoD National Security Review, Recommendation Information, and Other Department's CUI Related to: International Transfers, Export Control Violations, and Foreign Investments in the U.S.	This Defense CUI relates to information generated during the Department's national security review of international transfers of emerging technologies, dual use, and military items (articles, information, and services), export control violations, and Committee on Foreign Investments in the U.S. (CFIUS) cases. Information generated through the Department's review of: 1) export license applications & other export authorization or advisory requests for dual use and munitions items, commodity jurisdictions, voluntary and involuntary disclosures; 2) international transfers (including, but not limited to transfers through security cooperation and assistance, defense institution building, research, development and production cooperation); 3) export controls; 4) assessments supporting law enforcement and prosecution of export control violations; 5) technology security, and disclosure policy reviews; and, 6) CFIUS cases. Such CUI includes Agency and Department deliberations and positions, information regarding: U.S. warfighter, foreign partner, individual company, or U.S. industrial base vulnerabilities; foreign and national security policy deliberations that could impact foreign partner relationships; export-controlled technology and technical data; and business competition-sensitive or business proprietary information. Export authorization requests and CFIUS case information are protected by statute.	Basic
	Enterprise Force Structure	This Defense CUI type addresses electronic or digitized or any other graphical hierarchical representation of DoD organizations, both military and civilian, to include points of contact data and information generated and shared from the organizational servers for DoD-wide integration and use. It must be in compliance with and documented in accordance with DoD governance. Composition of DoD organizations, both military and civilian, comprising and supporting U.S. defense forces as specified by the National Defense Authorization Acts of current and applicable previous years, and defines the organizational hierarchy through which leadership authorities are exercised.	Basic
	Foreign Humanitarian Assistance	This Defense CUI type would include information, if disclosed, could reveal locations and intentions of U.S. or Mission Partners responding in a Complex International Emergency (Earthquake, Typhoon, Medical, NEO, ETC.) or in a Non-Permissive environment to organized terrorist groups. This sub-category would only be used when there is a threat from International Terrorist Groups on U.S. or Mission Partners performing FHA. This information should be released to various UN Agencies (DPKO, HCR, WFP, OCHA, WHO) as needed.	Basic
	Geodetic Product Information	Related to imagery, non-intelligence imagery, or other geospatial information.	Basic
	Information Operations	This Defense CUI type addresses the information related to tactics, techniques, and tactical doctrine necessary to the organization, training, and employment of military, paramilitary, or irregular forces within the information operations realm to include: PSYOPS; MILDECEP; SPECWAR; Interrogation; Rules of Engagement; Cyberspace operations; Electronic Warfare; Military information support operations; OPSEC; Intelligence; Influence	Basic

<b>Table 1: DoD CUI Registry</b>			
<b>CUI INDEX</b>	<b>CATEGORY</b>	<b>DESCRIPTION Plus DOD Info</b>	<b>BASIC or SPECIFIED</b>
		activities; and other related areas. It includes non-specific technical data, training techniques, and tactical procedures necessary to conduct individual and organization level activities supporting these types of missions and functions. General or some specific methodologies and techniques used, overarching strategy and doctrine, and the principal procedures used by commands contained in unclassified training manuals and joint publications and May include information operations in OCONUS MTFs. Example 1: Agreements with host-nation hospitals or medical centers to ensure that members of the Armed Forces and covered beneficiaries have access, within a reasonable distance, to quality healthcare, including case management and translation services.	
	International Transfers and Foreign Investment	This CUI category is information generated during the Department's development, negotiations, conclusion, and review of DoD, and other USG international agreements with one or more foreign governments or international organizations (including their agencies, instrumentalities, or political subdivisions). The concluded (signed) unclassified agreement itself is not CUI unless it contains Foreign Government Information that is requested by the originating authorities to remain protected, or is marked "RESTRICTED," and therefore not releasable to the public. This CUI category does not include information generated during implementation of the international agreement. Such information may be CUI described in other categories, for example, Foreign Government Information, or export-controlled technical data or technology. This CUI includes Department and other USG agency deliberations, positions, and recommendations, such as: vulnerabilities of U.S. warfighters, the USG, the foreign partners, individual companies, or the U.S. industrial base; foreign and national security policy deliberations that could impact foreign partner relationships; and export-controlled technology and technical data.	Basic
	Materiel, Armaments, Vehicles, Equipment, & Munitions	This Defense CUI type covers the information related to unclassified specific numbers and types of defense materiel, armaments, vehicles, equipment, and munitions procured and controlled by the U.S. military for equipage, operation, maintenance, training, and support of its military forces or military, irregular, or paramilitary forces of its allies. Scientific, technical, or engineering data and training information necessary to operate, maintain, or support specific defense materiel, armaments, vehicles, equipment, or munitions. Numbers and types of items developed by U.S. private interests as a result of U.S Government contracts or derived from technology paid for by or under the control of the U.S. Government are included within this Defense CUI type. There is export-controlled technical data/technology associated with this category.	Basic
	Military Intelligence	This Defense CUI type relates to unclassified intelligence activities, sources, and methods used in gathering data and information of a military nature. Initial or general information gathered, raw data, and non-specific or processed intelligence collected related to military operations. Additionally, this applies to funding sources for intelligence or special activities.	Basic

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	Patent Applications with Secrecy Orders	This Defense CUI addresses information related to patent applications with Secrecy Orders. A Secrecy Order is an order by the Commissioner of Patents requiring an invention be kept secret and the application or grant of a patent be withheld from publication due to national security concerns. Unclassified patent applications might (in the case of DoD patent applications) or would (in the case of third-party applications) be detrimental to national security if disclosed.	Basic
	Personnel Security Screening Requirements and Procedures	This Defense CUI type relates to evaluating eligibility or screening individuals for national security or occupancy of a sensitive position. The DoD CAF relies on many databases when evaluating eligibility or screening individuals for national security or occupancy of a sensitive position. The CAF also adjudicates special populations requiring addition vetting. In addition to databases, the CAF also used independent medical evaluations to vet and screen personnel as warranted.	Basic
	Production	This Defense CUI type addresses manufacturing, construction, and assembly data and information including know-how, techniques, and processes required to produce or substantially upgrade defense materiel, armaments, and munitions. It includes information related to the engineering processes or techniques resulting in the sets of instructions for transforming natural substances into useful materials (metals, plastics, combustibles, etc.) or fabricating materials into aerodynamic, mechanical, electronic, hydraulic, or pneumatic systems, subsystems and components. Drawings, process sheets, wiring diagrams, procedural instructions, test protocols, testing results, and other supporting documentation.	Basic
	Tactics, Techniques, Procedures, and Tactical Doctrine	This Defense CUI type contains the information related to tactics, techniques, and tactical doctrine necessary to the organization, training, and employment of military, paramilitary, or irregular forces from a general basis to include rules of engagement, interrogation methods, etc. It includes only non-specific technical data, training techniques, and tactical procedures necessary to operate and maintain individual and organizational items of military materials, armaments, vehicles, and munitions. Operational specific methodologies, sequencing of events, and strategy information contained in unclassified training manuals and most joint publications and subject of export control.	Basic