# NC-TOPPS Mental Health and Substance Use Disorder Adult (Ages 18 and up) Initial Interview

Use this form for backup only. Do not mail. Enter data into web-based system: (http://www.ncdhhs.gov/providers/provider-info/mental-health/nc-treatment-outcomes-and-program-performance-system) I certify that I am the QP who has conducted and completed this QP First Initial & Last Name interview. OP Signature: Please provide the following consumer information: 4. For Female Adult Substance Use Disorder individual: Is this consumer being admitted to a Pregnant/Maternal LME-MCO Assigned Consumer Record Number: program?  $\square$  No -> (skip to 5) b. Which Pregnant/Maternal program is this consumer being **Consumer Date of Birth:** admitted to? ☐ Community Choices - CASCADE - Charlotte ☐ Community Choices - CASCADE - Durham **Consumer Gender:** ☐ Community Choices - Outpatient Program - Charlotte ■ Male ■ Female ☐ Community Choices - Outpatient Program - Durham First three letters of consumer's last name: ☐ Community Choices - WISH Program (If female, use consumer's maiden name) ☐ Daymark Clean Start Program First letter of consumer's first name: ☐ Insight Human Services - Perinatal Health Partners ☐ PORT Health - Kelly House **Consumer County of Residence:** ☐ RHA - Mary Benson House **CNDS ID Number** ☐ RHCC - Cambridge Court - Perinatal ☐ RHCC - Crystal Lake - Maternal ☐ RHCC - Grace Court Medicaid ID Number (optional) ☐ RHCC - Our House ☐ RHCC - The Village - Perinatal ☐ Southlight - Perinatal Residential **Medicaid County of Residence:** ☐ UNC Horizons - Day Break **Provider Internal Consumer Record Number (optional)** ☐ UNC Horizons - Outpatient Program ☐ UNC Horizons - Sunrise Perinatal ☐ UNC Horizons - Wake Local Area Code (Reporting Unit Number) (optional) 5. For Female Adult Substance Use Disorder individual: Is this consumer being admitted to a CASAWORKS Residential program? Please select the appropriate age/disability category(ies) ☐ Yes  $\square$  No  $\rightarrow$  (skip to 6) for which the individual will be receiving services and b. Which CASAWORKS Residential program is this consumer being supports. (mark all that apply) admitted to? ☐ Adult Mental Health, age 18 and up ☐ Community Choices - CASCADE CASAWORKS - Charlotte ☐ Adult Substance Use Disorder, age 18 and up ☐ Community Choices - CASCADE CASAWORKS - Durham □ RHCC - Cambridge Court - CASAWORKS Admission Date (date of first paid service for this ☐ RHCC - Crystal Lake - CASAWORKS episode of care): ☐ RHCC - The Village - CASAWORKS ☐ Southlight - CASAWORKS ☐ UNC Horizons - Sunrise CASAWORKS **Begin Interview** 6. For Adult Substance Use Disorder individual: 1. Please select all services the consumer is currently Is this consumer currently receiving Work First cash assistance? **receiving.** (See Attachment I) ☐ Yes 7. Is this consumer also a TASC client? 2. If both Mental Health and Substance Use Disorder, is the treatment at this time mainly provided by a... ☐ Yes ☐ No qualified professional in substance use disorders 8. For Adult Substance Use Disorder individual: Is this consumer receiving or expected to receive methadone qualified professional in mental health treatment? ☐ Yes  $\square$  No -> (skip to 9) 3. Please indicate the DSM-5 diagnostic classification(s) for b. What is the current methadone dosage? this individual. (See Attachment II) (enter zero, if none and skip to 9) mg c. For dosage level of Methadone greater than zero: Please describe the current methadone dosing: ☐ Induction ☐ Stabilization

Confidentiality of SA and MH consumer-identifying information is protected under Federal regulations 42 CFR Part 2 and the Health Insurance Portability and Accountability Act of 1996, P.L. 104-91 (HIPAA) or implementing regulations, 45 CFR Parts 160 and 164. Consumer-identifying information may be disclosed without the individual's consent to the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS) and to its authorized evaluation contractors under the audit or evaluation exception. Redisclosure of consumer-identifying information without the individual's consent is explicitly prohibited. Your questions may be directed to (919) 515-1310. Sponsored by the NC MH/DD/SAS.

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#### Adult (Ages 18 and up)

#### **Initial Interview**

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A few times

☐ Yes

☐ No

■ Not sure

☐ More than a few times

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(nttp://www.ncanns.gov/pr					-neaith	/ nc-trea	itment-	outcome	es-and-	orogra	m-performance-system)
21. In the past year, how maresidences?	-		you mo	ved		. How m	any chile	dren do v	ou have	, [	
(enter	zero, it	none)					arry crime	c uo y	Ja nave		<u> </u>
22. In the past 3 months, where did you live most of the time?  Living independently (own/rent home/apartment)					c. How many children are in your legal custody? (skip to f if equal to number of children)						
☐ Stable housing with friends or family at minimal or no cost						l. How moustody o		dren are	in the leg	gal	
Residential program (halfway house, group home, alternative family living, family care home)  Institutional setting (hospital or jail)						. How m			you curre	ently	
☐ Homeless → (answer b) ☐ Temporary housing → (answer b)	(er.c)					_	-	•	ur legal (	custody	v are
b. If <i>homeless</i> , please specify your living situation most of the					r	receiving preventive and primary health care?					
time in the past 3 months.						g. How many children in your legal custody have been screened for mental health and/or substance					
☐ Sheltered (homeless or do☐ Unsheltered (on the street					u	use disorder prevention or treatment services?					
c. If <i>temporary housing</i> , plea				ation mo		h. In the past year, have you been investigated by DSS for child					
of the time in the past 3 mon	ths.				a	abuse or neglect?  Yes No -> (skip to 27)					
Unstable housing with freq friend's homes	uent mo	oves to a	nd from	relative's	5/   -	h-2. Was the investigation due to an infant testing positive					
☐ Hotel/motel						on a dr	ug scree	n?			
23. How long has it been sind				ysical			□ No □		to troat	mont r	aguired by Child
health care provider for a roo						h-3. Was your admission to treatment required by Child Welfare Services of DSS?					
☐ Never ☐ Within the past 5 years ☐ Within the past year ☐ More than 5 years ago						Yes No					
☐ Within the past 2 years		•	-		27	27. In the past 3 months, how often did you participate in a. positive community/leisure activities?					
24. How long has it been sind	ce you	last visi	ted a de	ntist		Never					a few times
for a routine check up?  ☐ Never ☐ \( \bigcup \)	Within tl	he past 5	vears			☐ Never ☐ A few times ☐ More than a few times b. recovery support or mutual aid groups?					
☐ Within the past year ☐ I		an 5 year				□ Never → (skip to 28)					
Within the past 2 years						A few times					
<b>25.</b> <u>Females only</u> : Are you cu ☐ Yes ☐ No → (skip to 26)				261		☐ More than a few times  c. In the past month, how many times did you attend recovery					
b. How many weeks have you be			<u> </u>	1		support or mutual aid groups?					
zi iion man, meene nave ,ea z	, co p. c	.9				Did not attend in past month					
c. Have you been referred to pr	enatal c	are?	☐ Yes ☐	] No		☐ 1-3 times (less than once per week)					
d. Are you receiving prenatal ca		☐ Yes ☐		-		4-7 times (about once per week)					
26. For Female Adult Substa			er indiv	idual:		8-15 times (2 or 3 times per week)					
Do you have children under to Yes □ No → (skip to 27)	. –	e <b>of 18?</b> et.—>)				☐ 16-30 times (4 or more times per week) ☐ some attendance, but frequency unknown					
28. For Adult MH only individ		(. /)		29. F	or Adu	It MH or			печаст	zy urikir	OWII
In the past year, have you u		acco or	alcohol	? In th	e past	year, ha	ve you		cit drug	s or ot	her substances other
☐ Yes ☐ No				than □ Ye		o and a		if 'No! is	222425	ad an h	oth questions 28 and 29)
30. Please mark the frequen	cv of us	e for ea	ch subs							eu on b	otii questions 26 <u>anu</u> 29)
				quency					ency of	Use	
Substance	Not Used	4 0	1-2 times weekly		Daily	Not Used		1-2 times weekly		Daily	
Tobacco use											
(any tobacco products)								ш	ш		
Heavy alcohol use (>=5(4) drinks per sitting)											Other Drug Codes 5=Non-prescription Methadone
Less than heavy alcohol use											7=PCP-Phencyclidine 8=Other Hallucinogen
Marijuana or hashish use											9=Methamphetamine/Speed 10=Other Amphetamine 11=Other Stimulant
Cocaine or crack use											12=Benzodiazepine 13=Other Tranquilizer 14=Barbiturate
Heroin use											15=Other Sedative or Hypnotic 16=Inhalant
Other opiates and synthetics											17=Over-the-Counter medications 22=OxyContin (Oxycodone) 29=Ecstasy (MDMA)
Other drug use											57=Spice 58=Dilantin
(enter code from list below)											59=GHB/GBL 60=Ketamine

### NC-TOPPS Mental Health and Substance Use Disorder

### Adult (Ages 18 and up)

#### **Initial Interview**

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(http://www.ncdhhs.gov/providers/provider-info/mental-he	ealth/nc-treatment-outcomes-and-program-performance-system)					
31. For Adult Substance Use Disorder individual: If ever, when is the last time you used a needle to get any drug injected under your skin, into a muscle, or into a vein	39. How many times have you been arrested for any offense including DWI (enter zero, if none)					
for nonmedical reasons?	a. in the past month					
☐ Within the past 3 months	b. in the past year					
☐ Within the past year	b. In the past year					
☐ More than a year ago						
□ Deferred	c. in your lifetime					
32. For Adult Substance Use Disorder individual:						
If ever, when have you participated in any of the following	40. Are you under the supervision of the criminal justice system?					
activities without using a condom?	☐ Yes ☐ No					
had sex with someone who was <u>not your spouse or primary partner</u> [or] knowingly had sex with someone who injected drugs [or]	41. For Adult Substance Use Disorder individual:					
traded, gave, or received sex for drugs, money, or gifts?	In the 3 months prior to your current admission, how many					
□ Never	weeks were you enrolled in substance use disorder treatment					
☐ Within the past 3 months	(not including detox)? (enter zero, if none)					
☐ Within the past year						
☐ More than a year ago	42. In the past 3 months, have you					
☐ Deferred	a. had <u>contacts</u> with an emergency crisis provider?					
33. In the past 3 months, how often have you been hit,	☐ Yes ☐ No					
kicked, slapped, or otherwise physically hurt?	b. had <u>visits</u> to a hospital emergency room?					
☐ Never -> (skip to 34) ☐ A few times	☐ Yes ☐ No					
	c. spent <u>nights</u> in a medical/surgical hospital?					
☐ More than a few times	(excluding birth delivery)					
Deferred -> (skip to 34) b. In the past 7 days, have you been hit, kicked, slapped, or	Yes No					
otherwise physcially hurt?	d. spent <u>nights</u> in a psychiatric inpatient hospital?					
☐ Yes ☐ No	e. spent <u>nights</u> homeless? (sheltered or unsheltered)					
c. Do you currently have a restraining order in place against	☐ Yes ☐ No					
someone who is associated with these recent threats or acts of violence?	f. spent <b>nights</b> in detention, jail, or prison?					
Yes No	(adult or juvenile system)					
34. In the past 3 months, how often have you hit,	Yes No					
kicked, slapped, or otherwise physically hurt someone?	43. How supportive do you think your family and/or friends					
Never	will be of your treatment and recovery efforts?					
☐ A few times	☐ Not supportive ☐ Very supportive					
☐ More than a few times	☐ Somewhat supportive ☐ No family/friends					
☐ Deferred	44. What is your level of readiness (Stage of Change) for					
35. For Adult Substance Use Disorder individual:	addressing your recovery/resiliency?					
If ever, when have you been forced or pressured to do sexual acts?	<ul><li>□ Not ready for action (Pre-contemplation)</li><li>□ Considering action sometime in the next few months (Contemplation)</li></ul>					
Never	Seriously considering action this week (Preparation)					
☐ Within the past 3 months	☐ Already taking action (Action)					
☐ Within the past year	Maintaining new behaviors (Maintenance)					
☐ More than a year ago	45. How well have you been doing in the following areas of					
Deferred	your life in the past year?					
36. In the past 3 months, how often have you tried to	<u>Excellent</u> <u>Good</u> <u>Fair</u> <u>Poor</u>					
hurt yourself or cause yourself pain on purpose (such as	a. Emotional well-being					
cut, burned, or bruised self)?  ☐ Never ☐ A few times ☐ More than a few times	b. Physical health					
	c. Relationships with family					
37. In your lifetime, have you ever attempted suicide?  ☐ Yes ☐ No	or significant others					
	d. Living/Housing situation					
38. In the past 3 months, how often have you had thoughts of suicide?						
□ Never □ A few times □ More than a few times	e. Employment/Education \bigcap \					

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46. Did you receive a list or options, verbal or written, of places to receive services?  ☐ Yes, I received a list or options ☐ No, I came here on my own ☐ No, nobody gave me a list or options	50. What help in any of the following areas is important to you?  (mark all that apply)  □ Educational improvement □ Finding or keeping a job □ Housing (basic shelter or rent subsidy) → (answer b)				
47. Was your first service in a time frame that met your needs?  ☐ Yes ☐ No	☐ Transportation ☐ Food supply ☐ Child care				
48. Do you have a need for any of the following?  (mark all that apply)  Wheelchair/Mobility equipment or services  Equipment or services due to a physical disability  Equipment or services due to being deaf/hard of hearing  Sign language interpreter  Foreign language interpreter  Equipment or services due to bing visually impaired  Child care  Equipment or services due to being a frail senior  Other  None of the above/NA  49. Did you have difficulty entering treatment because of problems with (mark all that apply)  No difficulties prevented you from entering treatment	<ul> <li>Medical care</li> <li>□ Dental care</li> <li>□ Legal issues</li> <li>□ Volunteer opportunities</li> <li>□ None of the above</li> <li>b. If housing, what supports are needed to improve your current situation or would allow you to live more successfully in the community? (mark all that apply)</li> <li>□ Rental assistance (due to credit problems, criminal record, or no down payment)</li> <li>□ Communication assistance (with landlord, housing management, or neighbors)</li> <li>□ Behavioral health supports (with crisis management, medication compliance, environmental challenges, or problem solving)</li> <li>□ Daily living skill development (for paying bills, housekeeping, transportation, meal preparation, or self-care)</li> <li>□ Other</li> </ul>				
Active mental health symptoms (anxiety or fear, agoraphobia, paranoia, hallucinations)  Active substance use disorder symptoms (addiction, relapse)  Physical health problems (severe illness, hospitalization)  Family or guardian issues (controlling spouse, family illness, child or elder care, domestic violence, parent/guardian cooperation)  Treatment offered did not meet needs (availability of appropriate services, type of treatment wanted by consumer not available, favorite therapist quit, etc.)  Engagement issues (AWOL, doesn't think s/he has a problem, denial, runaway, oversleeps)  Cost or financial reasons (no money for cab, treatment cost)  Stigma/Discrimination (race, gender, sexual orientation)  Treatment/Authorization access issues (insurance problems, waiting list, paperwork problems, red tape, lost Medicaid card, referral issues, citizenship, etc.)  Being deaf/hard of hearing  Language or communication issues (foreign language issues, lack of interpreter, etc.)	51. In the past month, how would you describe your mental health symptoms?  □ Extremely Severe □ Severe □ Moderate □ Mild □ Not present  52. In the past month, if you have a current prescription for psychotropic medications, how often have you taken this medication as prescribed? □ No prescription □ Sometimes □ All or most of the time □ Rarely or never  For Data Entry User (DEU) only: This printable interview form must be signed by the QP who completed the interview for this consumer.  Does this printable interview form have the QP's signature (see page 1)? □ Yes □ No  NOTE: This entire signed printable interview form must be placed in the consumer's record.				
□ lack of interpreter, etc.) □ Legal reasons (incarceration, arrest)	End of interview  Enter data into web-based system: http://www.ncdhhs.gov/providers/provider-info/ mental-health/nc-treatment-outcomes-and- program-performance-system				
☐ Transportation/Distance to provider ☐ Scheduling issues (work or school conflicts, appointment times not workable, no phone)					
☐ Lack of stable housing ☐ Personal safety (domestic violence, intimidation or punishment)	<u>Do not mail this form</u>				

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## **Attachment I: NC-TOPPS Services**

	Periodic Services (Substance use Disorder Consumers)
<del></del>	Psychotherapy - 9083290838
	Family Therapy without Patient - 90846
□ F	Family Therapy with Patient - 90847
	Group Therapy (multiple family group) - 90849
	Group Therapy (non-multiple family group) - 90853
	Behavioral Health Counseling - Individual Therapy - H0004
	Behavioral Health Counseling - Group Therapy - H0004 HQ
	Behavioral Health Counseling - Family Therapy with Consumer - H0004 HR
	Behavioral Health Counseling - Family Therapy without Consumer - H0004 HS
	Behavioral Health Counseling (non-licensed provider) - YP831
	Behavioral Health Counseling - Group Therapy (non-licensed provider) - YP832
	Behavioral Health Counseling - Family Therapy with Consumer (non-licensed provider) - YP833
	Behavioral Health Counseling - Family Therapy without Consumer (non-licensed provider) - YP834
	Alcohol and/or Drug Group Counseling - H0005
	Alcohol and/or Drug Group Counseling (non-licensed provider) - YP835
	Community Based Services
	☐ Substance Abuse Intensive Outpatient Program (SAIOP) - H0015
	☐ Assertive Community Treatment Team (ACTT) - H0040
	☐ Community Support Team (CST) - H2015, H2015 HT
	☐ Substance Abuse Comprehensive Outpatient Treatment (SACOT) - H2035
	☐ Individual Placement and Support (IPS) Supported Employment - YP630
	☐ Supported Employment - H2023 U4
	☐ Ongoing Supported Employment - H2026 U4
	Facility Based Day Services
	☐ Mental Health - Partial Hospitalization - H0035
	☐ Child and Adolescent Day Treatment - H2012 HA
	Opioid Services
	☐ Opioid Treatment - H0020
	Residential Services
	SA Non-Medical Community Residential Treatment - Adult - H0012 HB
	☐ SA Medically Monitored Community Residential Treatment - H0013
	☐ Behavioral Health - Long Term Residential - H0019
	Residential Treatment - Level II - Program Type (Therapeutic Behavioral Services) - H2020
	Psychiatric Residential Treatment Facility - YA230
	Group Living - High - YP780
	Therapeutic Foster Care Services
	Residential Treatment - Level II - Family Type (Foster Care Therapeutic Child) - S5145
	ADATC Services
	Alcohol and Drug Abuse Treatment Center
	Other Services
Service	Code: Service Description:

# Attachment II: DSM-5 Diagnostic Classifications

Neurodevelopmental I	Disorders			
Learning Disorders (315.00, 315.1, 315.2)	Autism Spectrum Disorder (299.00)			
☐ Communication Disorders (307.9, 315.35, 315.39)	☐ Attention-Deficit/Hyperactivity Disorder (314.00, 314.01)			
☐ Intellectual Disabilities (315.8, 317, 318.0, 318.1, 318.2, 319)	☐ Other Neurodevelopmental Disorders (315.8, 315.9)			
☐ Motor and Tic Disorders (307.20, 307.21, 307.22, 307.23, 307.3, 315.4)				
Substance-Related and Addi	ctive Disorders			
☐ Alcohol-Related Disorders (303.90, 305.00)				
(Other) Drug-Related Disorders (304.00, 304	1.10, 304.20, 304.30, 304.40,			
304.50, 304.60, 305.20, 305.30, 305.40, 30	5.50, 305.60, 305.70, 305.90)			
Gambling Disorder (312.31)	n Bereit att. Bis and an			
Schizophrenia Spectrum and Othe ☐ Schizophrenia and Other Psychotic Disorders (293.81, 293.82, 29				
Bipolar and Related D				
☐ Bipolar I Disorder (296.40, 296.41, 296.42, 2				
296.50, 296.51, 296.52, 296.53, 296.54, 296				
☐ Bipolar II Disorder (296.89)				
☐ Cyclothymic Disorder (301.13)				
Depressive Disord				
☐ Major Depressive Disorder (296.20, 296.21, 2 296.26, 296.30, 296.31, 296.32, 296.33, 296	296.22, 296.23, 296.24, 296.25, 5.34, 296.35, 296.36)			
☐ Persistent Depressive Disorder (Dysthymia) (				
☐ Other Depressive Disorders (296.99, 311, 62	•			
Anxiety Disorde	rs			
☐ Anxiety Disorders (300.00, 300.01, 300.02, 300.09, 3				
Obsessive-Compulsive and Re	elated Disorders			
☐ Obsessive-Compulsive and Other Related Disord	ers (300.3, 300.7, 312.39, 698.4)			
Trauma- and Stressor-Rela	ted Disorders			
☐ Posttraumatic Stress Disorder (PTSD) (309.81)	)			
☐ Adjustment Disorders (309.0, 309.24, 309.28,				
☐ Other Trauma- and Stressor-Related Disorders	5 (308.3, 309.89, 309.9, 313.89)			
<u>Dissociative Disord</u>				
☐ Dissociative disorders (300.12, 300.13,	300.14, 300.15, 300.6)			
Disruptive, Impulse-Control, and				
	ulse Control Disorders (312.32, 312.33, 312.34)			
	er Disruptive Behavior Disorders (312.89, 312.9)			
Gender Dysphoria Dis				
☐ Gender Dysphoria Disorders (	(302.6, 302.85)			
Neurocognitive Disc	<u>orders</u>			
Delirium Disorders (292.81, 293.0, 780.09)	24.40 204.44 224.02 224.0 720.50			
☐ Major and Mild Neurocognitive Disorders (290.40, 29				
Personality Disorders (201.0.201.20.201.23)				
_ , , , , , , , , , , , , , , , , , , ,	☐ Cluster C Personality Disorders (301.4, 301.6, 301.82) ☐ Other Personality Disorders (301.89, 301.9)			
Feeding and Eating D				
Anorexia Nervosa (307.1)	isol del s			
☐ Other Feeding and Eating Disorders (307.50, 3	307.51, 307.52, 307.53, 307.59)			
Other Disorder	rs ·			
Somatic Symptom and Related Disorders (300.11, 300.19, 300.7, 300.82,	Other Conditions That May Be  a Focus of Clinical Attention			
☐ Elimination Disorders (307.6, 307.7, 787.60, 788.30, 788.39) (V-codes, 999.xx)				
Sexual Dysfunction Disorders (302.70, 302.71, 302.72, 302.73, 302.74, 30				
Sleep-Wake Disorders (307.45, 307.46, 307.47, 327.21, 327.23, 327.24, 3 333.94, 347.00, 347.01, 780.52, 780.54, 780.57, 780.59, 786.04)	above)			
☐ Paraphilic Disorders (302.2, 302.3, 302.4, 302.81, 302.82, 302.83, 302.84,	\/! 07/01/2010			