

As an ABA Provider You are an Independent Contractor

Therefore, it is

YOUR Responsibility to

Submit all Documents /

Data required by

Deadline dates

Provider Full Name:	
Provider Signature:	Date:



Checklist

The following items must be completed and returned:
☐ Application Cover Sheet
☐ Availability & Location Sheet
☐ Criminal History Self Disclosure (<i>Signature page must be notarized – A.B.A Staff can help</i>)
☐ Independent Contractor Agreement
☐ Sole Proprietor Waiver
□ W-9 Form
\square I-9 Form (<i>Must show in person an item from list A, or an item from list B or C</i>)
☐ References (Three)
☐ Policies and Procedures with Confidentiality Form
☐ Transportation Declaration
☐ Vehicle Maintenance Document (If transporting clients)
☐ Direct Deposit Form (Optional)
Copies of the front and back of the following items will need to be submitted:
☐ Driver's License or State I.D.
☐ Social Security Card
☐ Fingerprint Clearance Card (A.B.A. Staff can help with this.)
\square C.P.R. & First Aid Card (If needed, you can take the class with us in the clinic.)
\square Article 9 Certificate (If needed, you can take the class with us in the clinic.)

Completed applications may be faxed to (480) 659-9044, emailed to <u>Stan@abrighteravenue.org</u> or dropped off at our administrative building, 2451 E. Baseline Rd, STE 300, Gilbert, AZ 85234. If eligible for hire, you will be contacted by office staff to schedule and complete an in-person interview.



Application Cover Sheet

Name:					
Address:					
City/State/Zip:					
Major Cross Streets: _					
Primary Phone:		Emai	ll:		
Birth Date:		Today's Date	:	C	Over 18? Yes No
			Years	Did you	
Education History:	Name c	of Institution	Attended	•	Subject Studied
High School:					
College:					
Trade/Bus School:					
Military Training? Vac	No Dotoile				
Military Training? Yes	No Details:	·			_
Employment History:					
Company Name:		Employment Dat	tes:	Pay Rate	:
Reason for Leaving:					
Responsibilities:					
Common Norman		Faranta and Bad		Day Bata	
Company Name:		Employment Dat	ies:	Pay Rate	<u>;</u>
Reason for Leaving:					_
Responsibilities:					



General Information:		
Tell us about any special training or experien	nce you have.	
Signature:		Date:
(Optional) How did you hear about	t A Brighter Avenue?	
This section is for Admin Use Only		
Hire Date:		
Starting Rates:		
otal tillg hatesi		
ECH:	HAB:	
ATC	DCD	
ATC:	RSP:	



Availability & Location Sheet

Provider's Na	ıme:					
Major Cross S	Streets:					
Email Addres	s:					
			s that you are av nly place any add			-
Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	er:cheduling or lo		nation you wou	ld like us to kı	now?	
Do you speak ***We will u Should your a	Spanish? Yes	or No help guide y location chan	ou toward avai ge, please subn	lable clients th	•	
	es may be sub erave.com/pr		e "Fill My Sched	dule" link on c	our website:	
Admin Use C	Only: Please er	nter the date	each class was	completed		
Article 9 =		CPR/First	Aid:	А	BA:	



Direct Deposit Form

l,	, would lik	ce to sign up for Direct Pay/Direct
	n A Brighter Avenue, LLC.	
This is a (Ch	eck One Only Please)	
•	, ,	
□ New red	quest to begin Direct Pay/Direct Deposit	
☐ Change	of Account Number (This may take 1-2 pay	periods to process.)
☐ Request	to Cancel Direct Deposit and receive paper	checks
Please type	or write legibly:	
Bank Routin	g Number:	
Account Nu	mber:	
Circle One:	Checking Savings	
Name as it a	appears on account:	
Signature: _		Date:
	eted, please fax to (480) 237-9729 or email	
-		

У also send via mail to 2451 E Baseline Rd, STE 300, Gilbert, AZ 85234

Please note, it may take up to two weeks to set up your account with Direct Pay/Direct Deposit. Once your account is set up, you will begin receiving automatic payments. Until then, you will continue to receive a paper check. Please email Joni@abrighteravenue.org with any Direct Pay questions. Thank you!



Employment Eligibility Verification Department of Homeland Security

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 08/31/2019

▶ START HERE: Read instructions carefully before completing this form. The instructions must be available, either in paper or electronically, during completion of this form. Employers are liable for errors in the completion of this form.

ANTI-DISCRIMINATION NOTICE: It is illegal to discriminate against work-authorized individuals. Employers CANNOT specify which document(s) an employee may present to establish employment authorization and identity. The refusal to hire or continue to employ

an individual because the documentation	presented I	nas a future (expiration date	may also consti	tute illeg	al discrir	mination.
Section 1. Employee Information than the first day of employment, but no	which the same of the same and the same and the	THE RESERVE OF THE PARTY OF THE		ust complete and	l sign Se	ection 1 o	f Form I-9 no later
Last Name (Family Name)	First Name	e (Given Name	9)	Middle Initial	Other L	ast Name	s Used (if any)
Address (Street Number and Name)	P	pt. Number	City or Town			State	ZIP Code
Date of Birth (mm/dd/yyyy) U.S. Social Second	curity Numbe	er Employ	/ee's E-mail Add	dress	E	mployee's	Telephone Number
I am aware that federal law provides for connection with the completion of this		ment and/or	fines for fals	e statements o	use of	false do	cuments in
I attest, under penalty of perjury, that I	am (check	one of the	following box	es):			
1. A citizen of the United States							•
2. A noncitizen national of the United State	s (See instru	uctions)					:
3. A lawful permanent resident (Alien Re	egistration N	umber/USCIS	Number):	-			
4. An alien authorized to work until (expire		• •					
Some aliens may write "N/A" in the expi			•				QR Code - Section 1
Aliens authorized to work must provide only o An Alien Registration Number/USCIS Number	r OR Form I-				mber.	Do	Not Write In This Space
Alien Registration Number/USCIS Number OR	·			***************************************			
2. Form I-94 Admission Number: OR			7-77-1-101181				
3. Foreign Passport Number:				-			
Country of Issuance:				·			
Signature of Employee				Today's Date	(mm/dd/	<i>'</i> yyyy)	
(Fields below must be completed and sign	A preparei ned when p	(s) and/or tran reparers and	slator(s) assiste //or translators		yee in c	ompleting	g Section 1.)
I attest, under penalty of perjury, that I knowledge the information is true and of		tea in the c	ompletion of	Section 1 of this	s torm a	ind that i	to the pest of my
Signature of Preparer or Translator				7	Today's D	ate (mm/c	dd/yyyy)
Last Name (Family Name)			First Nan	ne (Given Name)			
Address (Street Number and Name)			City or Town			State	ZIP Code



STOP Employer Completes Next Page



Department of the Treasury

Internal Revenue Service

Request for Taxpayer Identification Number and Certification

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the requester. Do not send to the IRS.

	1 Name (as shown on your income tax return). Name is required on this line; of	to not leave this line blank.		
-	2 Business name/disregarded entity name, if different from above			
See	3 Check appropriate box for federal tax classification of the person whose na following seven boxes. Individual/sole proprietor or ☐ C Corporation ☐ S Corporation single-member LLC Limited liability company. Enter the tax classification (C=C corporation, S Note: Check the appropriate box in the line above for the tax classification LLC if the LLC is classified as a single-member LLC that is disregarded from the owner for U.S. federal tax is disregarded from the owner should check the appropriate box for the ☐ Other (see instructions) ► Address (number, street, and apt. or suite no.) See instructions.	Partnership S=S corporation, P=Partner on of the single-member ov rom the owner unless the courposes. Otherwise, a single	Trust/estate rship) ▶ wner. Do not check owner of the LLC is gle-member LLC that er.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) Exemption from FATCA reporting code (if any) (Applies to accounts maintained outside the U.S.) and address (optional)
-	7 List account number(s) here (optional)	<u> </u>		
Part	Taxpayer Identification Number (TIN)		<u></u>	
backup residen entities TIN, lat Note: I	the account is in more than one name, see the instructions for line to To Give the Requester for guidelines on whose number to enter.	mber (SSN). However, for Part I, later. For other number, see <i>How to ge</i>	ta or	identification number
Part				
1. The i 2. I am Serv	penalties of perjury, I certify that: number shown on this form is my correct taxpayer identification num not subject to backup withholding because: (a) I am exempt from ba ce (IRS) that I am subject to backup withholding as a result of a failu nger subject to backup withholding; and	ckup withholding, or (b)	I have not been no	otified by the Internal Revenue
3. I am	a U.S. citizen or other U.S. person (defined below); and			
	FATCA code(s) entered on this form (if any) indicating that I am exem			
you hav acquisit	ation instructions. You must cross out item 2 above if you have been n e failed to report all interest and dividends on your tax return. For real es ion or abandonment of secured property, cancellation of debt, contribut an interest and dividends, you are not required to sign the certification, t	state transactions, item 2 ions to an individual retire	does not apply. For ement arrangement	r mortgage interest paid, (IRA), and generally, payments
Sign Here	Signature of U.S. person ▶	C	Date ►	
Gen	eral Instructions	Form 1099-DIV (div funds)	vidends, including	those from stocks or mutual
Section	references are to the Internal Revenue Code unless otherwise	,	various types of inc	come prizes awards or gross

noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

• Form 1099-INT (interest earned or paid)

- proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

DD-403-PF (12-05) (Refer to A.A.C. R6-6-1504 (Replaces DD-403-A,B,C,D)

ARIZONA DEPARTMENT OF ECONOMIC SECURITY Division of Developmental Disabilities Home and Community Based Services (HCBS)

REFERENCE REQUEST

	APPLICANT	
This reference request should be provided to a per	son who has personal knowledge abou	it voir employment history education or
character and can attest to your ability to provide s	ervices. Two references should be fro	m former/current amployers Deference
CANNOT be from family members. Please fill in	Vour name below and give to the new	gon you are requesting a reference from
Instruct the person to mail this Reference Request ba	ck to the Division of Davidanmental Di	son you are requesting a reference from
APPLICANT'S NAME (Last, First, M.I.)	ex to the Division of Developmental Di	saomues (DDD).
(· · · , · · · · · , · · · · · ,		
APPLICANT'S ADDRESS (No., Street, City, State, ZIP)		
(No., Onco, Ony, Olate, 211)		APPLICANT'S PHONE NO.
PERS	ON PROVIDING REFERENCE	
Please complete the questions listed below keeping	in mind that Home and Community B	ased Services (HCBS) may be performed
unsupervised in the home of the person with develo	opmental disabilities or in the residence	facility of the applicant. Your time and
effort in completing this form is appreciated and	strict confidentiality in regard to you	r responses will be observed within the
provisions of the law.		responses with oo coserved within the
This reference request MUST be returned to the HC	CBS local office listed on the reverse.	If mailing, fold this form in half with the
DES/DDD address on the outside, seal lower edge (A	(O STAPLES), attach stamp and mail	
PRINT PERSON'S NAME PROVIDING REFERENCE (Last, First, M.	l.)	
ADDRESS (No., Street, City, State, ZIP)		
DAYTIME PHONE NO.	EVENING PHONE NO.	
(· · ·)	()	
STATE THE LENGTH OF TIME YOU HAVE KNOWN THE APPLICA	NT /	
Years: Months:		
TYPE OF ACQUAINTANCE (Check all that apply)		-
Supervised applicant Worked with applican	t 🔲 Friend 🔲 Neighbor 🔲 Oth	ner:
INDICATE YOUR FEELINGS ON HOW YOU BELIEVE THE APPLI KNOWLEDGE OF ANY CHARACTERISTICS AND/OR SPECIA INDIVIDUALS	CANT WILL RELATE TO INDIVIDUALS WITH DE	VELOPMENTAL DISABILITIES. DESCRIBE YOUR
INDIVIDUALS.	L TRAINING/EDUCATION THAT THE APPLICA	ANT MAY HAVE FOR WORKING WITH THESE
INDICATE IF YOU HAVE ANY REASON TO BELIEVE THAT	THE APPLICANT WOULD NOT BE SUITED T	O PROVIDE CERVICES TO INDIVIDUAL O MITTI
DEVELOPMENTAL DISABILITIES.	THE ATTERDARY WOOLD NOT BE SOITED I	O PROVIDE SERVICES TO INDIVIDUALS WITH
F THE APPLICANT WAS A FORMER EMPLOYEE, WOULD YOU RI	EHIRE THIS PERSON?	
☐ No ☐ Yes ☐ N/A If no, why not?		
ADDITIONAL COMMENTS WHICH WILL HELP IN EVALUATING TH	IC ADDI ICANIT	
ISSURANCE COMMENTO WHICH WILL RELF IN EVALUATING TH	IS APPLICANT	
PERSON'S SIGNATURE PROVIDING REFERENCE	110 100	IDATE
		DATE
I I	OR OFFICE USE ONLY	
NTERVIEWED BY PHONE		DATE
□ No □ Yes		
PRINT INTERVIEWER'S NAME (Last, First, M.I.)	INTERVIEWER'S SIGNATURE	

DD-403-PF (12-05) (Refer to A.A.C. R6-6-1504 (Replaces DD-403-A,B,C,D)

ARIZONA DEPARTMENT OF ECONOMIC SECURITY

Division of Developmental Disabilities Home and Community Based Services (HCBS)

REFERENCE REQUEST

APPLICANT This reference request should be provided to a person who has personal knowledge about your employment history, education or character and can attest to your ability to provide services. Two references should be from former/current employers. References CANNOT be from family members. Please fill in your name below and give to the person you are requesting a reference from. Instruct the person to mail this Reference Request back to the Division of Developmental Disabilities (DDD) APPLICANT'S NAME (Last, First, M.I.) APPLICANT'S ADDRESS (No., Street, City, State, ZIP) APPLICANT'S PHONE NO. PERSON PROVIDING REFERENCE Please complete the questions listed below keeping in mind that Home and Community Based Services (HCBS) may be performed unsupervised in the home of the person with developmental disabilities or in the residence/facility of the applicant. Your time and effort in completing this form is appreciated and strict confidentiality in regard to your responses will be observed within the provisions of the law. This reference request MUST be returned to the HCBS local office listed on the reverse. If mailing, fold this form in half with the DES/DDD address on the outside, seal lower edge (NO STAPLES), attach stamp and mail. PRINT PERSON'S NAME PROVIDING REFERENCE (Last, First, M.I.) ADDRESS (No., Street, City, State, ZIP) DAYTIME PHONE NO. EVENING PHONE NO. STATE THE LENGTH OF TIME YOU HAVE KNOWN THE APPLICANT Years: Months: TYPE OF ACQUAINTANCE (Check all that apply) ☐ Supervised applicant ☐ Worked with applicant ☐ Friend ☐ Neighbor Other: INDICATE YOUR FEELINGS ON HOW YOU BELIEVE THE APPLICANT WILL RELATE TO INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES. DESCRIBE YOUR KNOWLEDGE OF ANY CHARACTERISTICS AND/OR SPECIAL TRAINING/EDUCATION THAT THE APPLICANT MAY HAVE FOR WORKING WITH THESE INDIVIDUALS. INDICATE IF YOU HAVE ANY REASON TO BELIEVE THAT THE APPLICANT WOULD NOT BE SUITED TO PROVIDE SERVICES TO INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES. IF THE APPLICANT WAS A FORMER EMPLOYEE, WOULD YOU REHIRE THIS PERSON? Yes N/A If no, why not? ADDITIONAL COMMENTS WHICH WILL HELP IN EVALUATING THIS APPLICANT PERSON'S SIGNATURE PROVIDING REFERENCE DATE FOR OFFICE USE ONLY INTERVIEWED BY PHONE DATE ☐ No Yes PRINT INTERVIEWER'S NAME (Last, First, M.I.) INTERVIEWER'S SIGNATURE

DD-403-PF (12-05) (Refer to A.A.C. R6-6-1504 (Replaces DD-403-A,B,C,D)

ARIZONA DEPARTMENT OF ECONOMIC SECURITY

Division of Developmental Disabilities Home and Community Based Services (HCBS)

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ARIZONA DEPARTMENT OF ECONOMIC SECURITY

CRIMINAL HISTORY SELF DISCLOSURE AFFIDAVIT

Your fingerprints will be submitted to the Arizona Department of Public Safety (DPS) and the Federal Bureau of Investigation (FBI) for a criminal history check. Your self-disclosure on this affidavit and the information provided by your criminal history check will be used, as authorized by Public Law and Arizona Revised Statues, to help us determine your fitness to have unsupervised access to vulnerable persons. Your failure to disclose true and accurate information on this affidavit will be sufficient grounds to end your employment or to deny, suspend, or revoke your license and may be referred to the State Attorney General's Office for prosecution.

Be sure that you go over all five (5) pages of the self-disclosure affidavit.

You have the right to obtain a copy of any background check report and challenge the accuracy or completeness of information contained in the report. If you challenge the information, you also have a right to prompt determination as to the validity of your challenge. To obtain a copy of your background check report, contact the DPS Records Unit, ACJIS Division at (602) 223-2222.

YOUR NAME (First, Middle, Last)	DATE OF BIRTH (MM/DD/YY)
ADDRESS (No., Street, Apt. No., City, State, ZIP)		
DDNL55 (No., Street, Apr. No., City, State, ZIP)		
Check one of the following and provide information as directed:		
I have not been convicted of nor am I under pending indictment	ent for any crimes	
☐ I have been convicted of or I am under pending indictment fo		
circumstances and outcome. Attach additional pages as need	ed):	,
AT CO. Cl. 1. Cd. Cd		
ALSO – Check one of the following:		
I am not subject to registration as a sex offender in Arizona of	r in any other jurisdiction.	
I am subject to registration as a sex offender in Arizona or in	any other jurisdiction. (If you are subject to registration as a	sex
offender in this state or any other jurisdiction, DPS will deny eligible to appeal the decision.)	you a Level 1 Fingerprint Clearance Card and you WILL N	OT be
eligiole to appear the aecision.)		
I certify that I understand this affidavit. My self-disclosure is true,	accurate and complete to the best of my knowledge	
J John Mary Series and Control of the Control of th	accurate, and complete to the best of my knowledge.	
Your Signature	Date	-
2	Date	
Notar	ry Public	
state of Arizona, County of		
one of Thizonti, County of	- The state of the	
Subscribed and sworn or affirmed and acknowledged before me thi	s day of .	20
Commission Expiration date	Notary Public's Signature	
Position Dyphanon date	Notary Public's Signature	

Non-Appealable Offenses

Are you awaiting trial for or have you ever been convicted of committing, attempting to commit, soliciting or facilitating or conspiring to commit one or more of these crimes in this state or a similar crime in another jurisdiction? Mark "Yes" or "No" as applicable.

If you are subject to registration as a sex offender in this state or any other jurisdiction, or awaiting trial on or been convicted of committing, attempting to commit, soliciting or facilitating, or conspiring to commit one or more of the crimes in this section DPS will deny you a Level 1 Fingerprint Clearance Card and you WILL NOT be eligible to appeal the decision.

Expunged convictions from any court other than juvenile court must be identified.

YES	NO		
		1.	Sexual abuse of vulnerable adult
		2.	Incest
		3.	Homicide, including first or second-degree murder, manslaughter and negligent homicide
П	百		Sexual assault
ī			Sexual exploitation of a minor or vulnerable adult
H			
H		7	Commercial sexual exploitation of a minor or vulnerable adult
片			Child prostitution as prescribed in A.R.S. § 13-3212
님			Child abuse
Щ			Felony child neglect
Ш		10.	Sexual conduct with a minor
Ш		11.	Molestation of a child or vulnerable adult
		12.	Dangerous crime against children as defined in A.R.S. § 13-705
		13.	Exploitation of minors involving drug offenses
		14.	Taking a child for the purposes of prostitution as defined in A.R.S. § 13-3206
П		15.	Neglect or abuse of a vulnerable adult
Ī			Sex trafficking
Ħ			Sexual abuse
Ħ		10.	Production, publication, sale, possession and presentation of obscene items as prescribed in A.R.S. § 13-3502
H		17.	Furnishing harmful items to minors as prescribed in A.R.S. § 13-3506
H		20.	Furnishing harmful items to minors by internet activity as prescribed in A.R.S. § 13-3506.01
		21.	Obscene or indecent telephone communications to minors for commercial purposes as prescribed in A.R.S. § 13-3512
		22.	Luring a minor for sexual exploitation
		23.	Enticement of persons for purposes of prostitution
			Procurement by false pretenses of persons for purposes of prostitution
		25.	Procuring or placing persons in a house of prostitution
		26.	Receiving earnings of a prostitute
	$\overline{\Box}$		Causing one's spouse to become a prostitute
$\overline{\Box}$	Ħ	28	Detention of persons in a house of prostitution for debt
Ħ	Ħ	29	Keeping or residing in a house of prostitution or annual annual in the in-
Ħ	\exists	30	Keeping or residing in a house of prostitution or employment in prostitution Pandering
\exists			· · · · · · · · · · · · · · · · · · ·
님	H	21.	Trafficking of persons for forced labor or services as defined in A.R.S. § 13-1308
님	님	32.	Transporting persons for the purpose of prostitution, polygamy and concubinage
H	片	33.	Portraying adult as a minor as prescribed in A.R.S. § 13-3555
님	片	34.	Admitting minors to public displays of sexual conduct as prescribed in A.R.S. § 13-3558
\sqcup		35.	Any felony offense involving contributing to the delinquency of a minor
빌	Ш	36.	Unlawful sale or purchase of children
		37.	Child bigamy
		38.	Any felony offense involving domestic violence as defined in A.R.S. § 13-3601, except for a felony offense
			only involving criminal damage in an amount more than \$250, but less than \$1000 if the offense was committed before June 29, 2009.
			Felony indecent exposure
			Felony public sexual indecency
Ħ	Ħ		· ·
	<u></u>		Felony driving under the influence, driving under the extreme influence or aggravated driving under the influence if committed within 5 years of the date you apply for a Level 1 Clearance Card.
	닏		Terrorism
Ш		43.	Any offense involving a violent crime as defined in A.R.S. § 13-901.03

Appealable 5 Years After Conviction

The following **felony** offenses are non-appealable if committed within 5 years before the date you apply for a Level 1 Fingerprint Clearance Card. If you have been convicted of committing, attempting to commit, soliciting or facilitating or conspiring to commit one or more of the crimes in this section *within 5 years* of applying for a Level 1 Fingerprint Clearance Card, DPS will deny you a Level 1 Fingerprint Clearance Card and you **WILL NOT** be eligible to appeal the denial.

If the conviction was more than 5 years before you apply for a Level 1 Fingerprint Clearance Card, DPS will deny you a Level 1 Fingerprint Clearance Card, but you will be eligible to appeal the denial to the Arizona Board of Fingerprinting.

Mark "Within 5 Years," "Over 5 Years" or "No" as applicable.

WITHIN 5 YEARS	OVER 5	NO		
			1.	Endangerment
				Threatening or intimidating
				Assault
			4.	Aggravated assault
				Unlawfully administrating intoxicating liquors, narcotic drugs or dangerous drugs
				Dangerous or deadly assault by prisoner or juvenile
				Prisoners who commit assault with intent to incite to riot or participate in riot
				Assault by vicious animals
			9.	Drive by shooting
			10.	Assaults on public safety employees or volunteers and state hospital employees
	. 🔲			Discharging a firearm at a structure
			12.	Prisoner assault with bodily fluids
			13.	Aiming a laser pointer at a peace officer
			14.	Possession and sale of peyote
			15.	Possession and sale of a vapor-releasing substance containing a toxic substance
				Selling or giving nitrous oxide to underage persons
			17.	Sale of regulated chemicals
			18.	Sale of precursor chemicals
			19.	Production or transportation of marijuana
			20.	Possession, use or sale of marijuana, dangerous drugs or narcotic drugs
			21.	Possession, use, administration, acquisition, sale, manufacture or transportation of prescription-only drugs
			22.	Administration, acquisition, manufacture or transportation of dangerous drugs or narcotic drugs
				Manufacturing methamphetamine under circumstances that cause physical injury to a minor under the age of 15
				Involving or using minors in drug offenses
			25.	Possession, use, sale or transfer of marijuana, peyote, prescription drugs, dangerous drugs, or narcotic drugs or manufacture of dangerous drugs in a drug-free school zone
	🖳			Possession, manufacture, delivery and advertisement of drug paraphernalia
				Use of wire communication or electronic communication in drug-related transactions
				Using a building for sale or manufacture of dangerous or narcotic drugs
				Manufacture or distribution of prescription-only drug
			30.	Manufacture, distribution, possession, or possession with intent to use imitation controlled substances, imitation prescription-only drugs or imitation over-the-counter drugs
			31.	Manufacture of certain substances and drugs by certain means

Appealable Offenses

Are you awaiting trial for or have you ever been convicted of committing, attempting to commit, soliciting or facilitating or conspiring to commit one or more of these crimes in this state or a similar crime in another jurisdiction? Mark "Yes" or "No" as applicable.

If you are awaiting trial on or been convicted of committing, attempting to commit, soliciting or facilitating or conspiring to commit one or more of these crimes, DPS will deny you a Level 1 Fingerprint Clearance Card, but you will be eligible to appeal the decision to the Arizona Board of Fingerprinting.

YES	NO		
		1.	Theft
		2.	Theft by extortion
			Shoplifting
$\overline{\Box}$	$\overline{\Box}$		Forgery
百	Ħ		Criminal possession of a forgery device
ī	Ħ		Obtaining a signature by deception
Ħ	Ħ		Criminal impersonation
Ħ	Ħ		
H		0. 0	Theft of a credit card or obtaining a credit card by fraudulent means
H		ر 10	Receipt of anything of value obtained by fraudulent use of a credit card Forgery of a credit card
H			
H			Fraudulent use of a credit card
H		12.	Possession of any machinery, plate or other contrivance or incomplete credit card
			False statements as to financial condition or identity to obtain a credit card
믬			Fraud by persons authorized to provide goods or services
\vdash			Credit card record theft
닏	Ц		Misconduct involving weapons
	Ш		Misconduct involving explosives
\sqcup			Depositing explosives
\sqcup		19.	Misconduct involving simulated explosives
		20.	Concealed weapon violation
		21.	Misdemeanor indecent exposure
		22.	Misdemeanor public sexual indecency
		23.	Aggravated criminal damage
		24.	Adding poison or other harmful substance to food, drink or medicine
		25.	A criminal offense involving criminal trespass under Title 13, Chapter 15
		26.	A criminal offense involving criminal burglary under Title 13, Chapter 15
		27.	A criminal offense involving organized crime or fraud as prescribed in Title 13, Chapter 23, except terrorism
		28.	Misdemeanor offenses involving child neglect
			Misdemeanor offenses involving contributing to the delinquency of a minor
		30.	Misdemeanor offenses involving domestic violence as defined in A.R.S. § 13-3601
		31.	Felony offenses involving domestic violence if the offense only involved criminal damage in the amount of
			\$250 but less than \$1000 and the offense was committed before June 29, 2009.
			Arson
		33.	Criminal damage
			Misappropriation of charter school monies as prescribed in A.R.S. § 13-1818
		35.	Taking identity of another person or entity
			Aggravated taking identity of another person or entity
			Trafficking in the identity of another person or entity
			Cruelty to animals
	$\overline{\Box}$		Prostitution as described in A.R.S. § 13-3214
一		40.	Sale or distribution of material harmful to minors through vending machines as prescribed in A.R.S. § 13-3513
┌	Ħ	41.	Welfare fraud
			Kidnapping
Ī	ec		Robbery, aggravated robbery or armed robbery
			Misdemeanor endangerment
\exists			Misdemeanor threatening or intimidating
	_		construction an earthing of infillingating

YES	NO	
		46. Misdemeanor assault
		47. Misdemeanor aggravated assault
		48. Misdemeanor unlawfully administering intoxicating liquor, narcotic drugs or dangerous drugs
		49. Misdemeanor dangerous or deadly assault by prisoner or juvenile
		50. Misdemeanor prisoners who commit assault with intent to incite riot or participate in riot
		51. Misdemeanor assault by vicious animals
		52. Misdemeanor drive-by shooting
		53. Misdemeanor assaults on public safety employees or volunteers and state hospital employees
		54. Misdemeanor discharging a firearm at a structure
		55. Misdemeanor prisoner assault with bodily fluids
		56. Misdemeanor aiming a laser pointer at a peace officer
		57. Misdemeanor possession and sale of peyote
		58. Misdemeanor possession and sale of a vapor-releasing substance containing a toxic substance
		59. Misdemeanor selling or giving nitrous oxide to underage persons
		60. Misdemeanor sale of regulated chemicals
		61. Misdemeanor sale of precursor chemicals
		62. Misdemeanor production or transportation of marijuana
		63. Misdemeanor possession, use or sale of marijuana, dangerous drugs or narcotic drugs
		64. Misdemeanor possession, use, administration, acquisition, sale, manufacture or transportation of prescription-only drugs
		65. Misdemeanor administration, acquisition, manufacture or transportation of dangerous drugs or narcotic drugs
		66. Misdemeanor manufacturing methamphetamine under circumstances that cause physical injury to a minor under the age of 15
		67. Misdemeanor involving or using minors in drug offenses
		68. Misdemeanor possession, use, sale or transfer of marijuana, peyote, prescription drugs, dangerous drugs, or narcotic drugs or manufacture of dangerous drugs in a drug-free school zone
		69. Misdemeanor possession, manufacture, delivery and advertisement of drug paraphernalia
		70. Misdemeanor use of wire communication or electronic communication in drug-related transactions
		71. Misdemeanor using a building for sale or manufacture of dangerous or narcotic drugs
		72. Misdemeanor manufacture or distribution of prescription-only drug
		73. Misdemeanor manufacture, distribution, or possession with intent to use imitation controlled substances,
	_	imitation prescription-only drugs or imitation over-the-counter drugs
		74. Misdemeanor manufacture of certain substances and drugs by certain means

Equal Opportunity Employer/Program • Under Titles VI and VII of the Civil Rights Act of 1964 (Title VI & VII), and the Americans with Disabilities Act of 1990 (ADA), Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and Title II of the Genetic Information Nondiscrimination Act (GINA) of 2008; the Department prohibits discrimination in admissions, programs, services, activities, or employment based on race, color, religion, sex, national origin, age, disability, genetics and retaliation. The Department must make a reasonable accommodation to allow a person with a disability to take part in a program, service or activity. For example, this means if necessary, the Department must provide sign language interpreters for people who are deaf, a wheelchair accessible location, or enlarged print materials. It also means that the Department will take any other reasonable action that allows you to take part in and understand a program or activity, including making reasonable changes to an activity. If you believe that you will not be able to understand or take part in a program or activity because of your disability, please let us know of your disability needs in advance if at all possible. To request this document in alternative format or for further information about this policy, contact your local office manager; TTY/TDD Services: 7-1-1. • Free language assistance for DES services is available upon request.

ARIZONA DEPARTMENT OF ECONOMIC SECURITY Division of Developmental Disabilities

DIRECT SERVICE POSITION

You have applied for a position that provides direct services to children or vulnerable adults. Arizona Revised Statutes (ARS § 8-804.I) require you to certify, under penalty of perjury, whether an allegation of abuse or neglect was made against you and was substantiated. If your certification does not indicate a current investigation or a substantiated report of abuse or neglect, your employer may permit you to provide direct services pending the findings of a Central Registry Background Check by the Division of Developmental Disabilities. Your employer is required to keep this form and all information provided on it as confidential.

NAME (Last, First, M.I.)	SOC. SEC. NO.				
ALIASES (Maiden, nicknames, etc.)	DATE OF BIRTH				
ADDRESS (No., Street, City, State, ZIP)	ADDRESS (No., Street, City, State, ZIP)				
Are you currently the subject of an investigation of child abuse or neglect in Arizona, or a	nother state or jurisdiction?				
Yes No					
Have you ever been the subject of an investigation of child abuse or neglect in Arizona, or that resulted in a substantiated (determined to have occurred) finding? \square Yes \square No	· ·				
If Yes, to the question immediately above:					
What was the allegation(s)?					
When was the investigation(s) conducted?					
Where was the investigation(s) conducted?					
If you wish to provide additional information see Direct Service Position Supplement					
STATEMENT OF CERTIFICATION					
By signing this form, I certify that the information provided is true, correct, and complet and belief.	ete to the best of my knowledge				
SIGNATURE	DATE				

Employers: Please maintain this form as confidential.

Equal Opportunity Employer/Program • Under Titles VI and VII of the Civil Rights Act of 1964 (Title VI & VII), and the Americans with Disabilities Act of 1990 (ADA), Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and Title II of the Genetic Information Nondiscrimination Act (GINA) of 2008, the Department prohibits discrimination in admissions, programs, services, activities, or employment based on race, color, religion, sex, national origin, age, disability, genetics and retaliation. The Department must make a reasonable accommodation to allow a person with a disability to take part in a program, service or activity. For example, this means if necessary, the Department must provide sign language interpreters for people who are deaf, a wheelchair accessible location, or enlarged print materials. It also means that the Department will take any other reasonable action that allows you to take part in and understand a program or activity, including making reasonable changes to an activity. If you believe that you will not be able to understand or take part in a program or activity because of your disability, please let us know of your disability needs in advance if at all possible. To request this document in alternative format or for further information about this policy, contact the Division of Developmental Disabilities ADA Coordinator at 602-542-0419; TTY/TDD Services: 7-1-1. • Disponible en español en línea o en la oficina local.

DIRECT SERVICE POSITION SUPPLEMENT

	4 •
HVD	lanation
LAU	anauwn.

If you have ever been the subject of an investigation of child abuse or neglect in Arizona, or another state or jurisdiction that resulted in a substantiated (*determined to have occurred*) finding, you may provide an explanation of the incident of child abuse or neglect. Do not include the name of any child or any person involved in the investigation. If more space is needed, please attach additional sheets.



Policies & Procedures with Confidentiality

Provider's Name:
I have read and completely understand A Brighter Avenue's Policies and Procedures.
I understand that I am an independent contractor, and that any breach of these policies will result in cancellation of my contract to work with A Brighter Avenue.
I have read and completely understand the importance of maintaining A Brighter Avenue's Confidentiality Policy.
I understand that there is a no tolerance policy and any breach of this Confidentiality policy will result in immediate cancellation of my contract to work with A Brighter Avenue.
Signature:
Date:

A.B.A.'s Policies & Procedures, including those regarding Confidentiality, may be reviewed online at any time at www.abrighteravenue.com/provider



Vehicle Maintenance Document

A.B.A. Emergency / After Hour Phone Number: (480) 430-6544

This document will be competed by A.B.A Staff once vehicle is brought to our location

Provider's Name:				
I have reviewed the Vehicle Maintenance requirements listed below and have a copy of this				
document to keep in my vehicle for my reference. In addition to providing A.B.A. with copies of				
my current vehicle registration, vehicle insurance, and motor vehicle report with consent to				
release, I understand that my vehicle must meet each of the requirements listed below to				
transport a Member in my car.				
Registration and Insurance are Current				
Tires are not worn and are properly inflated				
No leaks under the vehicle				
All exterior and interior lights are in working order				
Turn signals and Brake lights work properly				
Windshield wipers work properly				
All fluid levels are okay (oil, water, brake, power steering, etc.)				
All seats are clean, stable, with working constraints				
Air conditioning / Heater is working properly				
Windows are clean with no cracks				
Child Safety Seat(s) are in use, if applicable				
First Aid Kit/Flashlight is in vehicle				
I understand this is a Safety Issue and there is a "No Tolerance" policy. I understand my failure to maintain my vehicle to each of these standards listed above while transporting a Member will result in immediate cancellation of my contract to work with A Brighter Avenue.				
Provider's Signature: Date:				
Management Initials:				



SOLE PROPRIETOR WAIVER

NOTE: THIS FORM APPLIES ONLY TO STATE OF ARIZONA AGENCIES, BOARDS, COMMISSIONS, AND UNIVERSITIES UTILIZING SOLE PROPRIETORS WITH NO EMPLOYEES.

IF YOU ARE CONTRACTING WITH A CORPORATION, LIMITED LIABILITY COMPANY (INCLUDING SINGLE MEMBER LLC), PARTNERSHIP, OR SOLE PROPRIETORS WITH EMPLOYEES, THIS FORM DOES NOT APPLY.

The following is a written waiver under the compulsory Workers' Compensation laws of the State of Arizona, <u>A.R.S. § 23-901</u> (et. seq.), and specifically, <u>A.R.S. § 23-961(M)</u>, that provides that a Sole Proprietor may waive his/her rights to Workers' Compensation coverage and benefits.

I am a sole proprietor and I am doing business as Name Of Sole Proprietor's Business. I am performing work as an independent contractor for the State of Arizona, Enter State Agency, Department And/Or Division, for workers' compensation purposes, and therefore, I am not entitled to workers' compensation benefits from the State of Arizona, Enter State Agency, Department And/Or Division. I understand that if I have any employees working for me, I must maintain workers' compensation insurance on them.

Name of Sole Proprietor:	
Telephone Number: ()	
Street Address / P. O. Box:	
City: State:	ZIP Code:
Signature of Sole Proprietor:	Date:
State Agency:	Agency #:
Signature of Agency Contract Administrator:	Date:
Contract Identification:	
Both signatures must be signed and the completed for Administration, Risk Management Division, Insuran	

Phoenix, Arizona 85007. An authorized Risk Management Representative will sign your completed

form and return it to the agency to be maintained in their records.



Independent Contractor Agreement

This Independent Contractor Agreement ("Agreement") is made and entered into in Arizona, by and between A Brighter Avenue, L.L.C. ("AB.A." or "Company"), an Arizona limited liability company, and			
			, an Independent Contractor ("Contractor" or "Provide1 ').
The Company and Provider hereby contract for Provider to perform one or more of the following services:			
Habilitation, Respite, and/or Attendant Care, ("Services") in a professional manner and under the following terms			
and conditions, which are hereby mutually agreed upon:			
1. Term of Agreement: This agreement shall be effective on,20 and continue for an			
indefinite period, unless either party terminates this Agreement.			
(!) Either party may terminate this Agreement upon ten (10) days' written notice.			
(!) The Company may terminate this Agreement without notice in the event that Provider			
breaches any material provision of this Agreement, commits a felonious act, or otherwise acts in			
a manner that is materially harmful to the business interests or reputation of A.B.A.			
(!) Upon termination of this Agreement, the Company shall have no further obligations to the			
Provider other than for payment of any earned and undisputed yet unpaid compensation for			
services performed prior to the date of termination.			
2. Provider's Services: During the term of this agreement, AB.A. desires that the Provider perform, and the			
Provider agrees to perform, the Services named above.			

- (!) Provider shall devote such business time as necessary or desirable and Provider understands that

 A.B.A. makes no assurances regarding the number of clients or hours that Provider will receive.
- (!) Should Provider's Services require that he/she become a Transporting Provider, he/she agrees to maintain his/her personal vehicle, including registration and insurance, at his/her own expense.
- 3. Relationship of the Parties: It is expressly understood by the parties that the Provider is an independent contractor in the performance of each and every part of this Agreement and that nothing in this Agreement is intended, or shall be construed, to denote or designate the Provider as an employee, agent, partner, or joint venture of A.B.A.
 - (!) Although A.B.A. will communicate with the Provider the scope of any specified project and the desired outcome, the parties understand that A.B.A does not control the manner or method by which the Provider performs the services. The Provider has sole control over these aspects of the Services performed given that the manner and method utilized complies with the generally accepted practices of the industry.
 - (!) The parties expressly understand and agree that as an independent contractor, the Provider is free to set his/her own schedule, decline clients presented by A.B.A., and perform or continue to perform services for entities other than A.B.A
- 4. Compensation: Provider shall be paid at an agreed upon rate per hour for time spent providing services.
 - (!) Provider may invoice A.B.A. at intervals of Provider's choosing, however, Provider understands that A.B.A. processes paperwork and pays twice per month and will compensate accordingly.
 - (!) Payments made to Provider by A.B.A. will be reported on IRS form 1099.
 - (!) As an independent contractor, Provider shall be solely responsible for all requisites of employment for himself/herself, including, without limitation, liability, health or disability insurance, retirement benefits or other welfare or pension benefits, workers' compensation insurance, unemployment insurance, withholding and payment of all federal and state income taxes, social security, and Medicare taxes.



- 5. No Eligibility for Employee Benefits: Provider understands that he/she is an independent contractor and, as such, neither he/she nor any dependent or other individual claiming through Provider will be eligible to participate in, or receive benefits under, any employee benefit plans, programs, or arrangements of A.B.A.
- 6. Indemnification: Provider hereby agrees to indemnify and hold the Company, its subsidiaries and other affiliates, and all of their respective shareholders, directors, officers, employees, agents, successors and assigns, harmless from any and all liabilities, injuries, losses, and/or claims out of any action or omission of Provider or any employee or agent of Provider including, without limitation, damages to any person or property, attorneys' fees and costs, and all losses or claims arising out of any failure to comply with applicable Jaws, including timely reporting and payment of any business and occupation income or self-employment taxes, and other state or federal assessments.
- 7. Confidential Information and Related Matters: Provider agrees to abide by A.B.A.'s written Policies and Procedures regarding Client Confidentiality.
 - (!) Soliciting clients: Provider agrees that during the term of his/her work for A B.A. under this Agreement and for a period of eight (8) months thereafter, he/she will not solicit business from anyone who was a client of A.B.A. within one year prior to termination of this Agreement, nor to anyone who was a prospective client of A.B.A. within six months of termination.
 - (!) Soliciting providers: Provider agrees that during the te1m of his/her work for AB.A. under this Agreement and for a period of eight (8) months thereafter, he/she will not induce or attempt to induce anyone who is or was an employee, consultant, contractor or provider of A.B.A to accept other work in any capacity in competition with AB.A.

IN WITNESS WHEREOF, Provider and a duly authorized representative of A Brighter Avenue, hereto have caused this Agreement to be executed as of this day of	
Independent Contractor	
Signature:	
Printed Name:	
A Brighter Avenue Staff:	
Signature:	
Printed Name:	
Title:	



Transportation Declaration

As a provider for A Brighter Avenue, you must decide whether you'd like to be a Transporting Provider (meaning you will become certified to drive your client(s) in your person vehicle) or a Non-Transporting Provider (meaning you will not).

Most of our providers are Non-Transporting, as we intend for services to be provided in the client's home. In some instances, however, transporting may be required or requested. If you client's family feels that transporting is necessary to take him/her to and from other therapies or if being out in the community is part of his/her goals, you may need to drive your client, in which case you MUST become certified to transport.